

# Evolution and Taxonomy of Positive-Strand RNA Viruses: Implications of Comparative Analysis of Amino Acid Sequences

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**ABSTRACT:** Despite the rapid mutational change that is typical of positive-strand RNA viruses, enzymes mediating the replication and expression of virus genomes contain arrays of conserved sequence motifs. Proteins with such motifs include RNA-dependent RNA polymerase, putative RNA helicase, chymotrypsin-like and papain-like proteases, and methyltransferases. The genes for these proteins form partially conserved modules in large subsets of viruses. A concept of the virus genome as a relatively evolutionarily stable “core” of housekeeping genes accompanied by a much more flexible “shell” consisting mostly of genes coding for virion components and various accessory proteins is discussed. Shuffling of the “shell” genes including genome reorganization and recombination between remote groups of viruses is considered to be one of the major factors of virus evolution.

Multiple alignments for the conserved viral proteins were constructed and used to generate the respective phylogenetic trees. Based primarily on the tentative phylogeny for the RNA-dependent RNA polymerase, which is the only universally conserved protein of positive-strand RNA viruses, three large classes of viruses, each consisting of distinct smaller divisions, were delineated. A strong correlation was observed between this grouping and the tentative phylogenies for the other conserved proteins as well as the arrangement of genes encoding these proteins in the virus genome. A comparable correlation with the polymerase phylogeny was not found for genes encoding virion components or for genome expression strategies. It is surmised that several types of arrangement of the “shell” genes as well as basic mechanisms of expression could have evolved independently in different evolutionary lineages.

The grouping revealed by phylogenetic analysis may provide the basis for revision of virus classification, and phylogenetic taxonomy of positive-strand RNA viruses is outlined. Some of the phylogenetically derived divisions of positive-strand RNA viruses also include double-stranded RNA viruses, indicating that in certain cases the type of genome nucleic acid may not be a reliable taxonomic criterion for viruses.

Hypothetical evolutionary scenarios for positive-strand RNA viruses are proposed. It is hypothesized that all positive-strand RNA viruses and some related double-stranded RNA viruses could have evolved from a common ancestor virus that contained genes for RNA-dependent RNA polymerase, a chymotrypsin-related protease that also functioned as the capsid protein, and possibly an RNA helicase.

**KEY WORDS:** virus evolution, multiple alignment, phylogenetic taxonomy, RNA dependent RNA polymerase.

## I. INTRODUCTION

One of the major conceptual assets of molecular virology during the past decade was the finding that relationships between apparently quite disparate groups of plant, animal, and bacterial viruses could be unraveled by use of comparative analysis of amino acid sequences of viral proteins. This revelation was far from being a truism

as the potential of viruses to evolve rapidly has been recognized early enough (Holland et al., 1982). Positive-strand RNA viruses represent the largest class of viruses (Francki et al., 1991), and the contribution of computer-assisted comparative studies to the understanding of the relationships within this class has been perhaps the greatest. The first studies that have revealed non-trivial links between positive-strand RNA viruses in-

fecting plants and animals (Franssen et al., 1984; Haseloff et al., 1984; Argos et al., 1984; Kamer and Argos, 1984; Blinov et al., 1984; Gorbalenya et al., 1985; Ahlquist et al., 1985) have given the start to the rapid development of the avenue of research that may be called "molecular macro-evolution of viruses". We use the term macro-evolution to indicate that the emphasis in this type of research is the analysis of relationships between superficially highly diverse groups of viruses. If such different groups of viruses are evolutionarily related, this evolution should have included drastic changes associated with macro-evolution in classic evolutionary theory (Simpson, 1944).

Unexpected as it may seem, now, 8 years after these initial daring attempts, the area appears to be becoming self-contained and summation of the results seems timely. Table 1 shows that complete genome sequences are already available for most of the groups of positive-strand RNA viruses at the genus level as defined in the latest virus taxonomy (Francki et al., 1991). Clearly, the situation is quite different at the species and lower levels. With the progress of the sequencing techniques, numerous new isolates and strains of viruses are being sequenced at a high rate but they increasingly tend to fall within already recognized groups. Obviously, the collection of viral sequences now available is biased toward a disproportionally high representation of viruses infecting man and economically important animals, plants, and microorganisms. It seems very unlikely that this trend might change in the foreseen future to accommodate massive investigation of viruses infecting such organisms as, say, Fungi, Protozoa, or Algae, which actually appear to account for the major part of the evolutionary spun of the eukaryotes (Sogin, 1991). We only have to hope that the diversity of the genome structure of positive-strand RNA viruses as a whole does not reach far beyond the limits set by the current collection. The available information on viruses infecting taxonomically distant hosts, however incomplete, is not incompatible with such a hope.

In the past few years several reviews have dealt with different aspects of the evolution of positive-strand RNA viruses (Matthews, 1985; Goldbach, 1986, 1987; Goldbach and Wellink,

1988; Zimmern, 1988; Strauss and Strauss, 1988; Goldbach et al., 1991; Strauss et al., 1991; Dolja and Carrington, 1992). Very recently, the results of computer-assisted analysis of the proteins involved in replication and expression of these viruses have been described in considerable detail (Gorbalenya and Koonin, 1993a). So why another review? An obvious reason is an update taking into account new sequence information and the latest results of analysis. Much more importantly, however, we here try to address the issues of virus evolution and taxonomy in a more direct way than it has been done before. After briefly summarizing the relevant results of sequence comparisons, we will proceed with an explicit discussion of possible scenarios for the evolution of the extant diversity of positive-strand RNA viruses and formulation of proposals for the amendment of the existing virus taxonomy. Although the speculative element is substantial in this type of analysis, we hope that it will be useful in at least delineating the space of logical possibilities, in which hypotheses on virus evolution should be developed.

While we intended to make this review reasonably comprehensive, some important virus proteins and the respective evolutionary problems are only mentioned briefly. These include the proteins mediating the cell-to-cell movement of plant viruses whose classification is discussed elsewhere (Koonin et al., 1991a; Mushegian and Koonin, 1993), viral glycoproteins and membrane proteins, and some other less widespread proteins. Neither did we address the evolutionary significance of overlapping open reading frames that have been implicated recently as possible intermediates in the emergence of new genes (Keese and Gibbs, 1992). Importantly, we did not attempt to include detailed discussion of the possible evolutionary pathways within well-studied virus families, for example, Picornaviridae, which have been analyzed in considerable depth (Palmenberg, 1989; Stanway, 1990). Furthermore, while we tried to cite as many papers devoted directly to virus evolution and/or reporting complete virus genome sequences as possible, work on biochemical characterization of viral proteins is cited very selectively; in part, this is complemented by a recent review (Gorbalenya and Koonin, 1993a).

**TABLE 1**  
**Sequencing of Positive-Strand RNA Virus Genomes**

Family/Genus/ group <sup>a,b</sup>	Species <sup>c</sup>	Abbreviation	Genome size, nt <sup>d</sup>	Ref.
Togaviridae Alphavirus	Sindbis	SNBV	11,703	Strauss et al., 1984
	Eastern equine encephalitis	EEEV	11,675	Volchkov et al., 1991.
	O'nyong-nyong	ONNV	11,835	Levinson et al., 1990
	Ross River	RRV	11,657	Faragher et al., 1988
	Semliki Forest	SFV	11,442	Takkinen, 1986
	Venezuelan equine encephalitis	VEEV	11,422	Kinney et al., 1992
	Rubella	RubV	9,755	Dominguez et al., 1990
Rubivirus Arterivirus	Equine arteritis	EAV	12,720	den Boon et al., 1991
	Lactate dehydrogenase	LDV	14,222	Godeny et al., 1993
	Lelystad	LV	15,101	Meulenberg et al., 1993
Flaviviridae				
Flavivirus	Yellow fever	YFV	10,862	Rice et al., 1993
	Cell-fusing agent	CFAV	10,695	Cammisa-Parks et al., 1992
	Dengue (serotype 1,2,3,4)	DEN	10,723 (type 2)	Irie et al., 1989
	Japanese encephalitis	JEV	10,976	Nitayaphan et al., 1990
	Kunjin	KUN	10,664	Coia et al., 1988
	West Nile	WNV	10,960	Castle et al., 1986
	Tick-borne encephalitis	TBEV	10,477	Pletnev et al., 1989
Pestivirus	Bovine viral diarrhea	BVDV	12,573	Collett et al., 1988
	Hog cholera	HoCV	12,284	Meyers et al., 1989
Hepatitis C virus group(?)	Hepatitis C	HCV	9,413	Kato et al., 1990
Coronaviridae				
Coronavirus	Infectious bronchitis	IBV	27,608	Boursnell et al., 1987
	Murine hepatitis	MHV	>31,000	Lee et al., 1991
Torovirus	Berne	BeV	partial, >20,000	Snijder et al., 1990
Caliciviridae				
Calicivirus	Feline calicivirus	FCV	7,690	Carter et al., 1992
	Rabbit hemorrhagic disease	RHDV	7,437	Meyers et al., 1991
	Southampton	SRSV	7,696	Lambsen et al., 1993
Hepatitis E virus group(?)	Hepatitis E	HEV	7,207	Tam et al., 1991
Carmovirus				
	Carnation mottle	CarMV	4,003	Guilley et al., 1985
	Melon necrotic spot	MNSV	4,266	Riviere and Rochon, 1990
	Turnip crinkle	TCV	4,050	Carrington et al., 1989
Leviviridae				
Levivirus	MS2 phage		3,569	Fiers et al., 1976
	GA phage		3,466	Inokuchi et al., 1986
Allolevivirus	SP phage		4,276	Hirashima et al., 1988
Luteovirus	Barley yellow dwarf	BYDV-PAV	5,677	Miller et al., 1988
		BYDV-NY-RPV	5,600	Vincent et al., 1991
	Beet western yellows	BWYV	5,641	Veidt et al., 1988
	Potato leafroll	PLRV	5,883	Van der Wilk et al., 1989
Maize Chlorotic Dwarf virus Group	Rice tungro spherical	RTSV	12,307	Shen et al., 1993
Marafavirus	Maize rayado fino	MRFV	None, ~8,000	Francki et al., 1991

**TABLE 1 (continued)**  
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Family/Genus/ group <sup>a,b</sup>	Species <sup>c</sup>	Abbreviation	Genome size, nt <sup>d</sup>	Ref.
Necrovirus	Tobacco necrosis	TNV	3,759	Coutts et al., 1991
Parsnip yellow Fleck virus Group	Parsnip yellow fleck	PYFV	9,871	Turnbull-Ross et al., 1992
Picornaviridae				
Enterovirus	Polio 1	PV	7,440	Racaniello and Baltimore, 1981
	Coxsackie	CoxV-B4	7,395	Jenkins et al., 1987
	Bovine entero	BoEV	7,414	Earle et al., 1988
Hepatovirus	Hepatitis A	HAV	7,478	Najarian et al., 1985
	Simian Hepatitis A	SHAV	7,400	Tsarev et al., 1991
Cardiovirus	Encephalomyocarditis	EMCV	7,825	Bae et al., 1989
	Theiler murine encephalomyelitis	TMEV	8,098	Pevear et al., 1988
Rhinovirus	Human rhino 1,2,14,89	HRV2	7,102	Skern et al., 1985
		HRV14	7,212	Stanway et al., 1984
Aphthovirus	Foot-and-mouth disease	FMDV	~8,500	Forss et al., 1984
Echovirus 22	Echo 22	ECHO22	7,339	Hyypia et al., 1992
Cricket paralysis virus	Cricket paralysis	CRPV	Partial, 7,500	King et al., 1987; Koonin and Gorbalenya, 1992
Sobemovirus	Southern bean mosaic	SBMV	4,194	Wu et al., 1987
Tetraviridae				
Nudaurelia $\beta$ virus group	Nudaurelia $\beta$ virus and numerous other insect viruses		Partial, ~6,000	Agrawal and Johnson, 1992
Tombusvirus	Tomato bushy stunt	TBSV	4,776	Hearne et al., 1990
	Cucumber necrosis	CNV	4,701	Rochon and Tremaine, 1989
Tymovirus	Cymbidium ringspot	CyRV	4,733	Grieco et al., 1989
	Turnip yellow mosaic	TYMV	6,319	Morch et al., 1988
	Eggplant mosaic	EPMV	6,331	Osorio-Keese et al., 1989
	<i>Kennedya</i> mosaic	KYMV	6,362	Ding et al., 1990
	<i>Ononis</i> yellow mosaic	OYMV	6,211	Ding et al., 1989
Capillovirus	Apple stem grooving	ASGV	6,496	Yoshikawa et al., 1992
Carlavirus	Potato M	PMV	8,535	Zavriev et al., 1991
	Shallot virus X(?)	ShVX	8,890	Kanyuka et al., 1992
Closterovirus	Beet yellows	BYV	15,480	Agranovsky et al., 1991b; 1993
	Citrus tristeza	CTV	Partial, 20,000	Sekiya et al., 1991
Potexvirus	Apple chlorotic leafspot	ACLV	7,555	German et al., 1990
	Potato virus X	PVX	6,432	Kraev et al., 1988
	Foxtail mosaic	FMV	6,151	Bancroft et al., 1991
	<i>Narcissus</i> mosaic	NMV	6,955	Linthorst et al., 1989
	Papaya mosaic	PMV	6,656	Sit et al., 1989
	White clover mosaic	WCIMV	5,845	Forster et al., 1988
	Strawberry mild yellow edge-associated	SMYEA	5,966	Jelkmann et al., 1992

**TABLE 1 (continued)**  
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Family/Genus/ group <sup>a,b</sup>	Species <sup>c</sup>	Abbreviation	Genome size, nt <sup>d</sup>	Ref.
Potyvirus	Potato virus Y	PVY	9,704	Robaglia et al., 1989
	Pea seed-borne mosaic	PSBMV	9,925	Johansen et al., 1991
	Plum pox	PPV	9,741	Maiss et al., 1989
	Tobacco etch	TEV	9,497	Allison et al., 1986
	Tobacco vein mottling	TVMV	9,472	Domier et al., 1986
	Pepper mottle virus	PeMV	9,640	Vance et al., 1992
	Barley yellow mosaic	BaYMV	11,217(2)	Kashiwazaki et al., 1990, 1991
Tobamovirus	Tobacco mosaic	TMV	6,395	Goelet et al., 1982
Comovirus	Cowpea mosaic	CPMV	9,370(2)	Lomonossoff and Shanks, 1983; van Wezenbeck et al., 1983
	Red clover mottle	RCMV	9,576(2)	Shanks et al., 1986; Shanks and Lomonossoff, 1992
	Red clover necrotic mosaic	RCNMV	5,388(2)	Lommel et al., 1988; Xiong and Lommel, 1989
Fabavirus	Broad bean wilt	BBWV	None	Francki et al., 1991
Nepovirus	Tomato black ring	TBRV	12,018 (2)	Meyer et al., 1986; Greif et al., 1988
	Hungarian grapevine chrome mosaic	GCMV	11,653 (2)	Le Gall et al., 1989; Brault et al., 1989
	Grapevine fanleaf	GFLV	11,116 (2)	Serghiniet al., 1990; Ritzenhaler et al., 1993;
Nodaviridae				
Nodavirus	Black beetle	BBV	4,504(2)	Dasgupta et al., 1984; Dasmahapatra et al., 1985
Pea enation Mosaic virus Group	Pea enation mosaic	PEMV	9,959(2)	Demler and de Zoeten, 1991; Demler et al., 1993
Furovirus	Soilborne wheat mosaic	SBWMV	10,690 (2)	Shirako and Wilson, 1993
	Beet necrotic yellow vein	BNYVV	11,358 (2)	Bouzoubaa et al., 1986, 1987
Tobravirus	Tobacco rattle	TRV	8,590(2)	Angenent et al., 1986; Hamilton et al., 1987
	Pea early browning	PEBV	9,429(2)	MacFarlane et al., 1989; Goulden et al., 1990
Idaeovirus(?)	Raspberry bushy dwarf	RBDV	7,680(2)	Natsuaki et al., 1991; Ziegler et al., 1992
Bromovirus	Brome mosaic	BMV	8,210(3)	Ahlquist et al., 1981, 1984
	Broad bean mottle	BBMV	8,250(3)	Dzianott and Bujarski, 1991; Romero et al., 1992
	Cowpea chlorotic mottle	CCMV	8,118(3)	Allison et al., 1989; Dzianott and Bujarski, 1991
Cucumovirus	Cucumber mosaic	CMV	8,641(3)	Gould and Symons, 1982; Rezaian et al., 1984, 1985
	Peanut stunt	PSV	8,487(3)	Karasawa et al., 1991, 1992
	Tomato aspermy	TAV	8,698(3)	Bernal et al., 1991; Moriones et al., 1991; O'Reilly et al., 1991

**TABLE 1 (continued)**  
**Sequencing of Positive-Strand RNA Virus Genomes**

Family/Genus/ group <sup>a,b</sup>	Species <sup>c</sup>	Abbreviation	Genome size, nt <sup>d</sup>	Ref.
Illarvirus	Tobacco streak	TSV	Partial, ~9,000	Cornelissen et al., 1984
Alfalfa mosaic Virus group	Alfalfa mosaic	AIMV	8,274(3)	Cornelissen et al., 1983a,b; Barker et al., 1983
Hordeivirus	Barley stripe mosaic	BSMV	9,848(3)	Gustafson et al., 1986, 1987, 1989

- <sup>a</sup> The table includes all groups at the genus level approved by the International Committee for Classification and Taxonomy of Viruses (ICTV) and listed in the same order as in the latest ICTV Report (Francki et al., 1991), with minor amendments (indicated by "?") based on the subsequent publications.
- <sup>b</sup> The currently used classifications of plant and animal viruses differ in that the former does not include families but only groups that are considered to be roughly equivalent to genera (Francki et al., 1991). Accordingly, the names of animal virus families and plant virus groups are shown flush left, whereas the names of animal virus genera are shown in lower case and indented.
- <sup>c</sup> Generally, the type member is indicated first, with all viruses, for which complete sequences were available in GenBank (Release 74, amended at the National Center for Biotechnology Information, NIH, as of February 4, 1993), listed below. For Picornaviridae, only selected data are included. Where complete sequences were unavailable, partial sequences are cited, with the best available genome size estimate. The word "virus" is omitted in each case for brevity.
- <sup>d</sup> The sequences were from GenBank; some of them may lack short terminal regions. The number of genome segments is indicated in parentheses.

## II. BRIEF NOTES ON THE METHODOLOGY

It is beyond the scope of this review to describe in any detail the methods for computer-assisted analysis of amino acid sequences. Many of such methods have been compiled in several recent volumes (Doolittle, 1986, 1990; Von Heijne, 1987). However, it is appropriate to discuss here some of the peculiarities in the application of these methods to proteins encoded by positive-strand RNA virus genomes. As already mentioned, a striking feature of RNA viruses is their rapid evolution resulting in enormous sequence divergence even among apparently closely related viruses (Holland et al., 1982; Domingo et al., 1985; Steinhauer and Holland, 1987). The degree of sequence conservation between homologous viral proteins, for example, RNA-dependent RNA polymerases that are the principal enzymes of virus replication, is much lower than between enzymes with analogous functions (DNA-dependent DNA and RNA polymerases) from eubacteria, archaeobacteria, and eukaryotes (data not shown).

As a rule, among distant groups of viruses, only short amino acid sequence motifs thought to be directly involved in enzymatic function are conserved (Koonin and Gorbalenya, 1989). This situation directly influences the choice of methods for computer analysis of viral protein sequence, seriously delimiting the use of database searches that generally are central to the area of sequence analysis. Instead, of critical importance are methods for knowledge-based identification of functional motifs. Usually finding such motifs constitutes the first step in comparative studies on viral genomes. An alternative is a detailed search for sequence segments of statistically most significant similarity regardless of their functional assignment. These initial steps of analysis define those portions of viral proteins that are used further for construction of multiple sequence alignments. Aligning viral proteins is a difficult exercise because of the limited conservation observed but it is greatly facilitated by the availability of multiple sequences with widely varying degree of similarity to each other. Generation of multiple

alignments in the stepwise manner starting with the closest sequences and proceeding to more distant ones is common practice (Doolittle, 1986) but this is particularly important for groups of viral proteins, in which the overall conservation may be limited to a few conserved amino acid residues in the principal functional motifs. An important element of the research strategy in comparative studies of small genomes like those of positive-strand RNA viruses is what may be called "gene context analysis". As it will be obvious from the subsequent sections, not only sequence motifs in individual proteins but in part also the gene order is conserved even among distantly related viruses allowing predictions to be made for new species. This provides for even very weak motifs that cannot be considered significant as such to serve as identifiers of function and basis for subsequent analysis if they are found to be encoded in the expected portion of the genome (e.g., Gorbalenya et al., 1989a; Koonin et al., 1992).

These initial steps of comparative sequence analysis account for the peculiarities of comparative studies in virology. The subsequent phylogenetic analysis, and specifically construction and interpretation of phylogenetic trees for virus genes and proteins, share all the generic virtues and pitfalls of these approaches. Interpretation of trees for viral proteins may be particularly difficult because the number of unambiguously aligned residues is very limited. Attempts have been made to produce trees based on short conserved motifs, but the results of such analysis have proven hard to interpret in a definitive way (Candresse et al., 1990). Hence, once again, the prerequisite for any reliable phylogenetic analysis is the generation of the best possible multiple alignments.

The size of positive-strand RNA viral genomes lies within the interval from about 3.5 to about 30 kb (Table 1). This relatively small size makes positive-strand RNA virus genomes particularly attractive for a thorough phylogenetic study as construction of a comprehensive evolutionary scenario appears to be a tractable goal. Hopefully, such a scenario may serve as a model in studies of other, more complex genomes.

### III. THE PARADIGM OF POSITIVE-STRAND RNA VIRUS EVOLUTION

We believe that the comparative studies on positive-strand RNA viruses performed by now have been complete enough to allow an explicit formulation of the main principles of their evolution. To make the subsequent discussion straightforward, we will put this formulation here and then will deal with each of these principles at some length.

1. RNA viruses evolve rapidly. Hence, only important functional motifs are conserved in a wide range of virus groups.
2. Positive-strand RNA virus genomes are made of a limited number of building blocks. The universal blocks are the genes for: (i) the RNA-dependent RNA polymerases (RdRp), and (ii) the coat protein. Only RdRp contains universal sequence motifs that are conserved in all positive-strand RNA viruses with known genome sequences. Therefore, phylogenetic analysis of the RdRp sequences inevitably forms the groundwork for our efforts to produce a coherent picture of the evolution of this virus class in general.
3. Evolution of positive-strand RNA viruses is shaped by two opposite trends: (i) conservation of distinct arrays of genes, primarily those encoding proteins mediating virus RNA replication, and (ii) recombinational shuffling of genes and gene blocks.
4. The wide spread of recombination, even among distantly related viruses, makes it impossible in principle to depict the evolutionary history of positive-strand RNA viruses as a single phylogenetic tree. An adequate description can only be a complex scenario providing for both vertical ("tree-like"), and horizontal flow of genetic information.
5. Correlation between virus phylogeny and strategy of genome replication and expression is only limited, suggesting that fundamental expression and replication mechanisms could have evolved more than once.

#### IV. THE BUILDING BLOCKS: ALIGNMENTS, CONSERVED MOTIFS, AND TENTATIVE PHYLOGENIES

##### A. Sequence Conservation in Positive-Strand RNA Viruses

When amino acid sequences of positive-strand RNA virus proteins were compared to each other using the BLAST program (Altschul et al., 1990), it was shown that statistically significant similarities were rather sparse and were observed mostly between relatively closely related viruses comprising compact groups (unpublished observations). These similarities were found in distinct conserved domains with already known or suspected function. The RNA-dependent RNA polymerase (RdRp) was by far the best conserved region showing the highest score for most of the compared virus pairs, with the RNA helicase holding the second position. Only in a few cases the segment with the highest similarity resided in the chymotrypsin-related protease or in the methyltransferase domain. Below we discuss the multiple alignments and the conserved sequence motifs for these and some other domains of positive-strand RNA viruses in the order of decreasing sequence conservation.

Generation of tentative phylogenetic trees is a logical sequel to construction of multiple alignments. Clearly, information on "macroevolution" of viruses could be derived only by phylogenetic analysis of the limited set of viral proteins that are conserved in a wide range of virus groups. The tentative phylogenies for these proteins should be analyzed separately because of the wide spread of gene shuffling. Subsequent comparison of different trees could provide information on the congruency of the evolution of viral genes, and on major recombination events.

##### B. RNA-Dependent RNA Polymerase

###### 1. Amino Acid Sequence Comparisons

All nondefective positive-strand RNA viruses encode the RdRp. Following the seminal work by Kamer and Argos (1984), it has been recognized that all these polymerases share a set of conserved

sequence motifs (Zimmern, 1988; Morozov and Rupasov, 1985; Koonin et al., 1987; Poch et al., 1989; Koonin, 1991a). The latest, most-detailed comparative analysis (Koonin, 1991a) revealed eight such motifs (Figure 1). It has to be realized that the overall sequence similarity among the positive-strand RNA viral polymerases is quite poor. Only three motifs, IV, V, and VI, showed completely unequivocal conservation throughout the whole class, with six invariant amino acid residues (Figure 1). Despite this modest conservation, the signature  $Dx_3[FYWLC A]x_{0-1}Dx_n[STM]Gx_3Tx_3[NE]x_n[GS]DD$  ( $x$  – any amino acid residue; alternative residues shown in brackets) could serve as an identifier of the RdRps of positive-strand RNA viruses and some related dsRNA viruses (and by inference of the viruses themselves), with only very few false positives retrieved in a search of the available amino acid sequence databases.

The importance of the "core" RdRp motifs IV, V, and VI for the polymerase activity has been proven by site-directed mutagenesis of the EMCV polymerase (Sankar and Porter, 1992). Although their actual function is not known, it is thought that these motifs may be involved in the binding of the NTP substrate (Koonin, 1991a).

Alignment of the remaining motifs is more tentative, based on superposition of alignments of distinct groups of RdRps. There were 11 such groups comprising three larger supergroups (Koonin, 1991a; Figure 1). The sequences within each of the groups, and in some cases the sequences belonging to different groups within a supergroup showed statistically significant similarity in pairwise comparisons (Koonin, 1991a, and unpublished observations). On the other hand, such similarities were generally not observed between the supergroups. The significance of the similarity in this case could be demonstrated only by comparing multiple alignments (Koonin, 1991a).

Positive-strand RNA virus RdRps also showed sequence similarity to other polymerases. The closest relationship was observed with the putative RdRps of double-stranded RNA viruses. Importantly, at least some of the double-stranded RNA virus polymerases showed obvious affinity with specific groups of positive-strand RNA viruses and could be considered to belong to the



cons1	III		IV		V	
	..C.....&..		.D...@D... C N		...SG...T...NS.&...&... T T	
PV 5	-VGCDP-DLFWSK	11	FDYTG-Y-DASLS	40	KGGMPSCSGTSIFNSMIN-NLIIRTL	
FMDV 5	-VGCNP-DVDWQR	13	VDYSAF-DTNHC	43	EGGMPSCSATGIINTILN-NIYVLYAL	
ECHO22 5	-VGINP-YKDMHF	11	MDYSQY-DGSL	42	HGGMPSCSPCTTVLNSLCN-LMNCIYTT	
HAV 5	-IGIDP-DROWDE	14	LDFSAF-DASLS	43	CGSMPSGSPCTALLNSIIN-NVNLYYVF	
FCV 6	-MDSPSVEALFOR	9	VDYSKW-DSTQS	43	SSGLPSGMPSTVINSLNH-CLYVGCAL	
RTSV 5	-VGINPESMEWSD	14	GDYSKF-DGIGS	46	SQGMPSGFAMTVIFNSFVN-YYFMALAW	
CPMV 5	-VGINPYSMEWSR	14	CDYSSF-DGLLS	46	ECGIPSGFPMTIVNSIFN-EILIRYHY	
TBRV 5	-VGTNPYSREMGH	15	CDYSGF-DGLLT	50	NCGLPSGFALTVMNSIFNEEILIRYAY	
TEV 5	-VGMTKFYQGWNE	13	ADGSQF-DSSLT	47	HKGNNSGQPSTVVDNTLMV-IIAMLYT-	1
BaYMV 5	-VGINKFGRGWEK	14	GDGSRF-DSSID	45	NVGNNSGQPSTVVDNTLVL-MTAFLYAY	
dsHyAV 6	-L-SQSMARIWDE	14	ADATAY-DSNCK	178	NRGGGTGQSATSWDNTATF-KLGVISAW	
dsScV 4	---KR-VNMKMD	6	FDYDDF-NSQHS	50	QGTLLSGWRLTTFMNTVLN-WAYMKLAG	
dsLRV1 4	--IATR-INGWRN	9	VDYDDF-NSQHT	44	AGTLMGHRATSFINSVLN-RAYIICAG	
BBV 7	YPGRNP-TEIADG	14	TDFSNL-DGRVS	47	GVGVKSGSSTTTPHNTQYNGCEFTALT	
PLRV 5	GFGLST-DTQTAE	27	TDCSGF-DWSVA	50	PGVQKSGSYNTSSNSRIR--VMAAYHC	
PEMV1 5	GLGFSQ-DHOVLA	26	TDCSGF-DWSVP	50	PGIQKSGSFNTSSNSRMR--YMLALYA	
SBMV 5	GMGLSV-IHQADA	16	ADISGF-DWSVQ	49	PGIMKSGSYCTSSNSRIR--CLMAELI	
IBV 5	VIGTTKFYGGWON	16	WDYPKC-DRAMP	49	PGGTSSGDATAYANSVFNIIQATSANV	
BEV 5	LIGVSKYGLKFSK	16	SDYTKC-DRTFP	45	PGGTSSGDATTAHSNTFYNYMVHYVAF	
EAV 80	YLKSKFDPPIAP	6	TDLESC-DRSTP	42	RGLSSGDPITSISNTIYSLVLYTQHML	

cons2	...&.....&		.D...&.D..US A CT		...R.SG...T...N.&U.&..... E A	
CarMV 7	MKGYTTEEVAQHI	14	FDMSRF-DQHVS	46	EGCRMSGDMNTALCNCIL-ACLITKHL	
TBSV 7	IKGYTAEVGAIF	14	LDASRF-DQHCS	46	EGCRMSGDINTSLGNVLL-MCAMVHGYM	
MCMV 7	MKGYSVEQIGRHI	14	FDASRF-DQHVS	46	DGCRMSGDMNISLGNVLL-ATAITHDFV	
PEMV2 7	AKGFNAVETGEII	14	LDASRF-DQHVS	45	KGRRMSGDMNTSLGNCVL-MVLLTRNLC	
RCNMV 7	LSGLDNRAQGRAI	14	LDASRF-DQHVS	47	KGRRMSGDINTGLNKL-MCSMVHAF	
BYDV 7	LSGYDNFKQGRII	14	VDASRF-DQHVS	45	RGRRMSGDINTSMGNKLI-MCGMMHAYL	
BVDV 8	EGKTPLNFIDKV	14	FDTKAV-DTQVT	45	NGQRSGQPDTSAGNSMLNVLTMAYFC	
HCV 10	GFQYSPGQRFVFL	14	YDTRCF-DSTVT	50	RASRASGLVTTSCGNTLICYIKARAA-C	2
WNV 10	VEGGLHRLGYIL	13	DDTAGW-DTRIT	52	KEQRSGSQVGTGYLNTFTNMEVQLSRQM	
YFV 10	VEGIGLQYLGVI	13	DDTAGW-DTRIT	53	RDQRSGQVVTYALNTITNLKVQLIRMA	
TBEV 10	VEGISLNLGWHL	13	DDTAGW-DTKVT	53	RDQRSGQVVTYALNTITNLKVQLIRMA	
CFAV 10	VGGVGVNYFGYLL	12	DDTAGW-DTKIS	57	RDQRSGQVVTYALNTITNGKVQVARVL	
MS2 0	LKSVGIDLNDQSI	16	IDLSSASDS-IS	33	ELFSTMGNGFTFELESNI-FWAIKAT-	
SP 0	LRLWKIDLNDQST	16	IDLSSASDS-IS	34	EKISSMGNGYTFELESNI-FAAIARSVC	
W-RNA 0	RRLRDLTKGDFEA	14	SDMKASDL-IP	44	RRGILMGLPTTWAILNLM-HLWCWDSAD	
T-RNA 0	PALVDVIGGDHRR	20	ADLTASDR-IP	47	RQGILMGLPTTWAILNLM-HLFWVLSLSD	

cons3	.....&...& A		.D&S.F D.SQ. T T		.&.R.SGD..T&..NT&..U.....& C K T E S A	
BSMV 9	NSRMTADELNETV	12	IDFSKF-DKSKT	44	LYQQKSGNCDTYGSNTWSAALALLDCLP	
TRV 9	NTRMTSSDLNDRV	13	IDMSKF-DKSAN	44	WYQQKSGADTYNANSRDLTCLLSELP	
TMV 10	FTRKTPAQIEDFF	13	LDISKY-DKSON	44	WYQRKSGDVTTFTIGNTVIIAACLASMLP	
CMV 9	PVGKISSLEMTGF	9	IDLSKF-DKSQ	44	SFORRTGDAFTYFGNTIVTMAEFACWD	
BMV 9	PICKISSLELKNV	9	ADLSKF-DKSQ	44	SFORRTGDAFTYFGNTIVTMAETAYASD	
ALMV 9	PSGKFHQLFSIDA	11	IDFSKF-DKSON	44	DFORRTGDALTYLGNITVTLACLCHVYD	
SNBV 9	LFDMSAEDFDALII	12	TDIASF-DKSQ	44	GAMMKSGMFLTLFNTVLNVVIASRVLE	3
HEV 9	GDAFDDTVFSAAV	10	NDFSEF-DSTQN	42	FWKKHSGEPGTLNNTVWNAVITHCYD	
RuBV 9	AAGHTEPEVDWW	10	VDTEF-DMNQT	42	GCERTSGEPATLLHNTTVAMCMAMRMVP	
BNYVV 9	DNTMSETFVGKI	15	IDAAAC-DGSGQ	42	SYVKTSGEPGTLGNITILMGAMLNAMLR	
PVX 10	NCETTPEDMSAWA	12	NDYTAF-DQSQ	38	SIMRLTGEPTFDANTECNIAVTHTKFD	
TYMV 10	HCGKTPNQLRDWC	12	NDYTAF-DQSQ	38	TCMRLTGEPTGYDDNTDYNLAVIYSQD	
ACLV 10	HQRKNFSELEDFA	11	SDYTAF-DVSQ	37	AIMRFTGEFTFLFNTLANMVFTECRYE	
ASGV 10	HSGKNFFCLDSFV	13	SDYTAF-DSSQ	38	AIMRFTGEFTFLFNTFANMLFTOLKYK	
CONS	.....&		.D...&.D....		...r.SG...T...Ns&...&..... k T t a	

FIGURE 1B

positive-strand RNA virus RdRp class (Koonin et al., 1989, 1991b; Bruenn, 1991; Koonin, 1992a; Figure 1).

Counterparts to the "core" RdRp motifs were detected also in RNA-dependent DNA polymerases, DNA-dependent DNA polymerases, and RdRps of negative-strand RNA viruses (Poch et al., 1989; Delarue et al., 1990; Xiong and Eickbusch, 1990). However, in contrast with the

double-stranded RNA viruses, these were remote relationships and each of these enzyme classes clearly did not overlap with the positive-strand RNA virus RdRps.

## 2. Phylogenetic Analysis

RdRp is the only domain of positive-strand RNA viruses allowing an all-inclusive phyloge-

cons1		VI ..&.GDD.&& S		VII ....@U... C		VIII .....U... C	
PV	13	MIAYGDDVIA	36	ENVTFLLKRF	13	VMPMKEIHES	61
FMDV	13	MISYGDDIVV	38	TDVTFLLKRH	12	VMASKTLEAI	59
ECHO22	10	PIVYGDDVIL	37	MEVEFLKRR	13	LLDTENMIQH	63
HAV	16	ILCYGDDVLI	42	SELTFLKRS	10	AISEKTIWSL	69
FCV	20	MMTYGDDGVY	39	NSVVFLLKRT	10	LLDRSSILRQ	102
RTSV	24	IVAYGDDNVV	43	TKMSFLKRG	13	PLDKTSIEER	126
CPMV	22	LVTYGDDNLI	42	EECDFLKRT	11	PEDKASLWSQ	245
GCMV	17	LLVYGDDNLI	42	SELDLFLKRR	12	PLDKSAIFSC	361
TEV	12	YYVNGDDLLI	33	TQLWFMSSR	10	KLEERIVSI	77
BaYmV	17	FVCNGDDNKF	34	CENPYMSLT	10	SLPVERIIAI	80
dsHyAV	16	LYNTSDDTVW	33	TEVEYLSKL	14	WR-QGRIENM	949
dsScV	9	SVHNGDDVMI	30	SISEFLRVE	11	QYLSRSCATL	217
dsLRV1	5	SMHVGDDILM	30	TSGEFLRVA	11	RVISSAVSGN	256
BBV	15	-PKCGDDGLS	27	IGLCFLSRV	10	IQDPLRTLRL	145
PLRV	4	AMANGDDALE	19	-ELEFCSHI	9	VNTNKMLYKL	51
PEMV1	4	AVTMGDDALE	19	-EFDFCSHL	9	KNLEKMVYGL	50
SBMV	4	CIAMGDDSV	31	YAVEFCSHV	8	TSWPKTLYRF	100
IBV	50	LMILSDDGVV	43	GPHEFCSQH	15	PDPSR-ILGA	90
BEV	43	LNFLSDDSF	37	HIEEFCSAH	12	PSRGR-LLAS	90
EAV	30	VYIYSDDVVL	36	PSFLGCRFK	15	TRSLLYHIGA	?
cons2		U...GDDU&U C		....FC... C		&..UR.... CK	
CarMV	5	LINNGDDCVL	34	EKIRFCQMA	7	WLMVRDPLVS	133
TBSV	9	LANCGDDCVL	34	EEVEFCQAH	7	WKMVRNVRTA	128
MCMV	8	LINNGDDNVL	34	EQVEFCQMR	7	YTMVRDPRTT	136
PEMV2	8	LFNNGDDCIV	34	EKIEFCQTO	7	WRTVRCI-SS	164
RCNMV	8	LANNGDDCVL	34	EKVAFCRSQ	7	WAMVRQL-GS	129
BYDV	8	LCNNGDDCVI	33	EQLEFCQSK	7	YRMVRRP-DS	142
BVDV	15	IHVCGDDGFL	39	EDIEFCSHT	12	HMACRDTAVI	197
HCV	9	MLVCGDDLIV	37	ELITSCSSN	12	YYLTRDPTTP	200
WNV	35	MAISGDDCVV	36	QEVFPFCAHH	12	VVPCRNQDEL	166
YFV	36	MAVSGDDCVV	36	ENVFPFCSHH	12	VVPCREQDEL	167
TBEV	33	MLVSGDDCVV	36	EEVPFCSHH	12	IVPCRDQDEL	168
CFAY	27	MVIAGDDVVV	36	EKVEFCSHH	12	IAPCRHENEV	166
MS2	9	IGIYGDDIIC	29	-RRESCGAH	8	F-YIKKPVDN	145
SP	9	VSVYGDDIII	29	-RRESCGKH	8	F-YIRRPIRC	158
W-RNA	17	CRVCGDDLIG	30	-RGVFLERL	12	VIVRKVGHRH	312
T-RNA	17	FRICGDDLIA	30	-WGIFTEKV	3	PVKMKVRVRS	396
cons3		..&.GDD.&U CA		..P.&CG.& G S		...UK&U.. AR A	
BSMV	6	CVFSGDDSLI	30	KYPAFCGKF	11	PDAAKF-ITK	87
TRV	6	VTYGGDDSLI	30	DVPMFCGKF	11	PDPVKV-LTK	98
TMV	6	GAFCGDDSLI	30	QYGYFCGRY	11	YDPLKL-ISK	76
CMV	6	LLFSGDDSLA	28	AVPYICSKF	15	REIQRL-GTK	169
BMV	6	AIFSGDDSLI	26	SVPYVCSKF	16	REIQRL-AKR	200
ALMV	8	VVASGDDSLI	27	NQPFICSKF	16	PNPLKL-LIR	101
SNBV	8	AAFIGDDNII	31	RPPYFCGGF	13	ADPLKR-LFK	80
HEV	6	AAFKGDDSI	29	PIGLYAGVV	8	PDVVRV-AGR	85
RuBV	6	GIFQGDHVI	34	PTPSFCGHV	7	HDVMHQ-AIK	178
BNYV	6	MAHKGDDGFK	30	VPITFCGYA	7	PSVSRK-LTK	120
PVX	6	QVYAGDDSAL	33	SWPEFCGWL	13	HVSLKL-AEA	61
TYMV	5	INVSGDDSLI	28	SHPLFCGYI	13	FCKLMI-AVD	119
ACLV	6	ICFAGDDMCA	27	KVPMFCGWR	13	YERLQV-AIE	97
ASGV	7	ILFAGDDMCS	28	KFPMFCGWY	13	WARIKM-MSE	?
CONS	..&.GDD.&		....@C...		.....k.....		

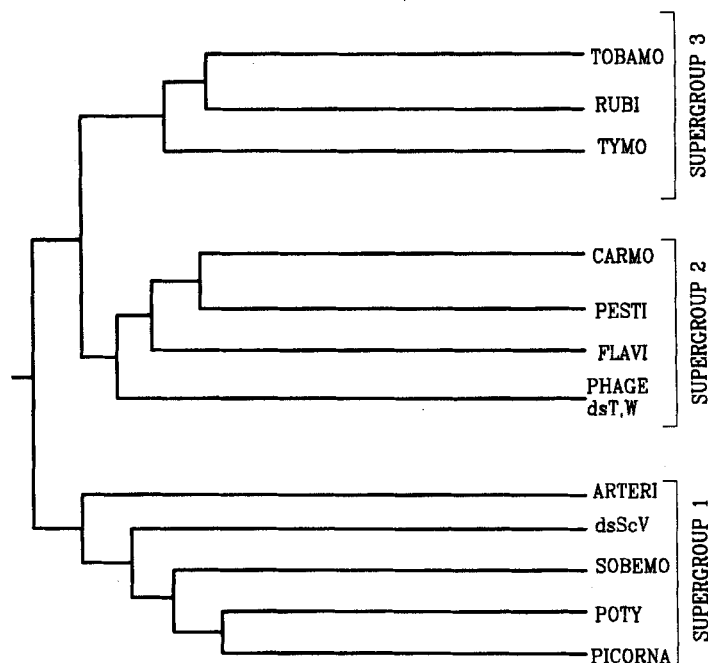
FIGURE 1C

netic analysis. As the final alignment of the RdRps was rather uncertain beyond the motifs shown in Figure 1, we used these concatenated motifs to derive the overall tree topology. The branching order within distinct groups was determined using the complete unambiguous alignments for the three polymerase supergroups (Koonin, 1991a).

Figure 2 illustrates the results of phylogenetic analysis of the RdRps. In accord with the qualita-

tive observations described above, the separation of the three vast supergroups was obvious (Figure 2A). A degree of uncertainty remains regarding the inclusion of the polymerases of RNA bacteriophages and the related dsRNA elements in supergroup 2 as they were isolated into a separate lineage by some of the tree-generating algorithms.

In turn, each of the RdRp supergroups split into well-defined lineages compatible with the



A

**FIGURE 2.** Tentative phylogeny of the RNA-dependent RNA polymerases of positive-strand RNA viruses and related double-stranded RNA viruses. The presented dendrograms are tentative phylogenetic schemes derived by consensus of the following algorithms for phylogenetic tree generation implemented in the PHYLIP package (Felsenstein, 1989): UPGMA (unweighted pair group minimum average) clustering (Sneath and Sokal, 1973); neighbor-joining method (Saitou and Nei, 1987), two versions of the least square distance matrix method (Fitch and Margoliash, 1967) implemented in programs FITCH and KITSCH, and protein parsimony method (Felsenstein, 1989). The distance matrices used as input by all these methods except protein parsimony were generated using the CLUSTAL-V program (Higgins and Sharp, 1988). The root position was inferred from the results obtained with UPGMA. The branch lengths are approximate. (a) The principal branching order of the RdRp tree. Only the large divisions designated by the names of the prototype virus groups are shown. (b) Supergroup 1; (c) Supergroup 2; (d) Supergroup 3.

groups revealed at the qualitative level during construction of the multiple alignments. We designate these lineages after prototype viruses. Supergroup 1 (Figures 2A,B) consisted of the picorna-like lineage (picornaviruses, comoviruses, nepoviruses, RTSV and PYFV, and caliciviruses), the poty-like lineage (potyviruses, bymoviruses, and the dsRNA hypovirulence-associated virus from *Cryphonectria parasitica*), the lineage in-

cluding viruses with small genomes (nodaviruses, sobemoviruses, luteoviruses), the dsRNA virus lineage (ScV, LRV1), and the arteri-like lineage (coronaviruses, toroviruses, and arteriviruses). In addition, phylogenetic analysis of the putative RdRp of human astrovirus whose complete genome sequence has been determined very recently indicated that it is a peripheral member of supergroup 1 that probably has branched from the tree

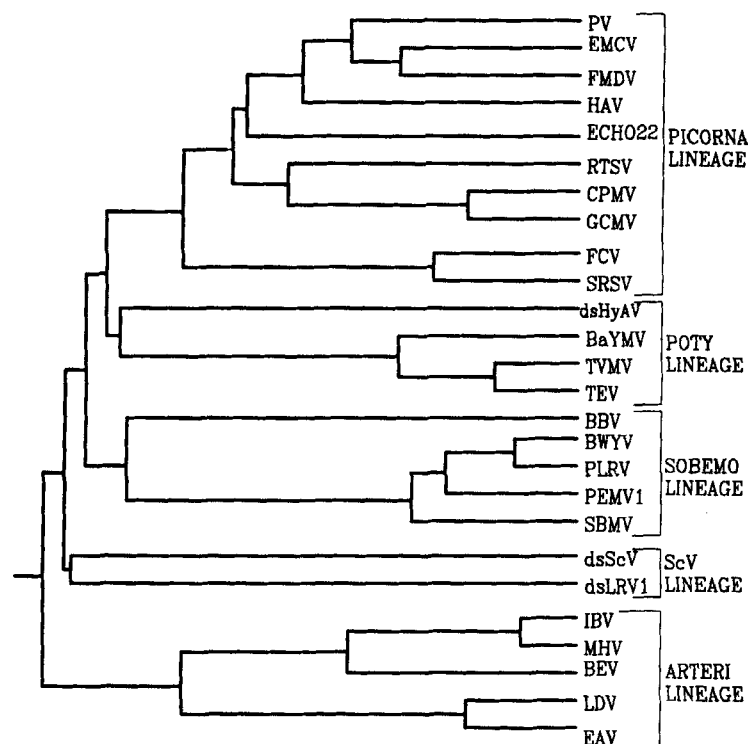


FIGURE 2B

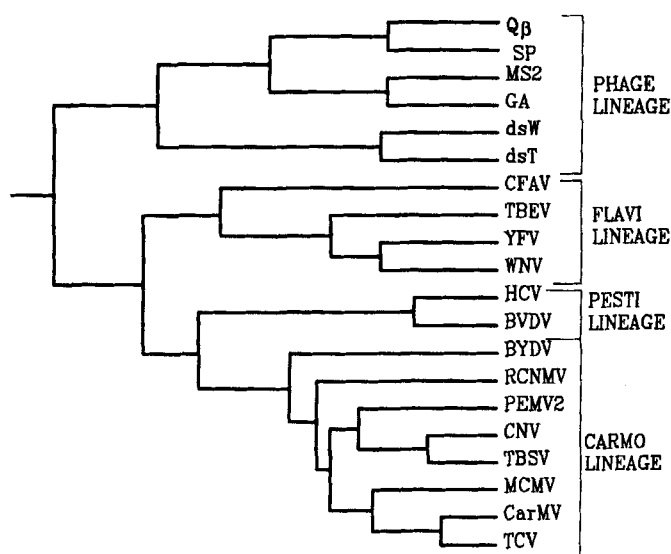


FIGURE 2C

at about the same point as the arteri-like viruses (Jiang et al., 1993). The specific position of the dsRNA viral lineage within the supergroup and the inclusion of the nodavirus polymerase in one

group with the sobemoviruses and luteoviruses remained tentative.

Supergroup 2 (Figures 2A,C) included the phage lineage comprised by the RNA coliphages

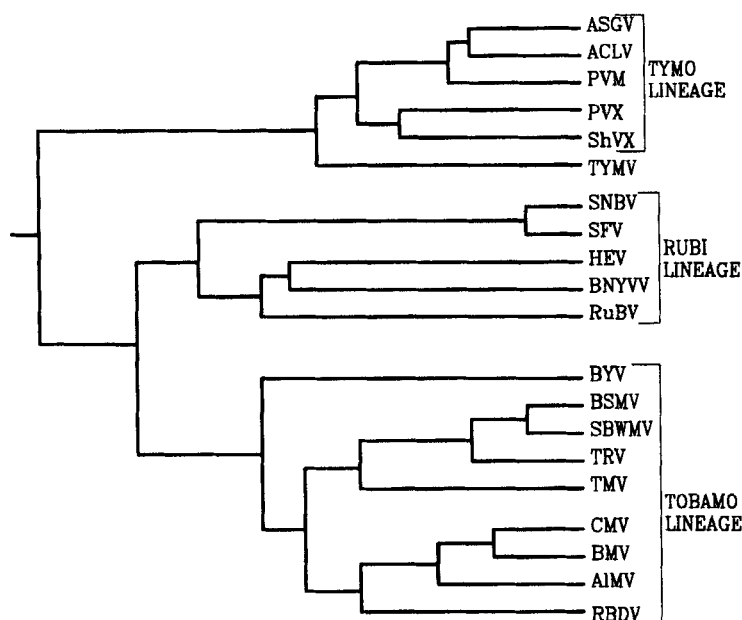


FIGURE 2D

and the related yeast dsRNA elements (Rodriguez-Cousino et al., 1991; Esteban et al., 1992; Koonin, 1992a), the flavivirus lineage, the pestivirus lineage (pestiviruses and HCV), and the lineage compiling plant viruses with small genomes (BYDV-PAM luteovirus, dianthoviruses, carmoviruses, tombusviruses, necroviruses).

Finally, supergroup 3 (Figures 2A,D) was composed of the tymo-like lineage (tymoviruses, carlaviruses, potexviruses, capilloviruses), the rubi-like lineage (rubella virus, HEV, BNYVV, and possibly alphaviruses), and the tobamo-like lineage (tobamoviruses, tricornaviruses, RBDV, hordeiviruses, tobnaviruses, closteroviruses). The position of the alphavirus RdRps in the tree was uncertain, with some algorithms including them in the rubi-like lineage, and others in the tobamo-like lineage. As discussed below, the former grouping appeared to be more compatible with the results of comparison of genome organization.

An important corollary of the phylogenetic analysis of the positive-strand RNA virus RdRps is that each of the three supergroups and even the smaller groups comprising them compile viruses with very different genome size, organization,

and expression strategy. Moreover, it seems clear that at least on three occasions specific groups of positive-strand RNA viruses have given rise to dsRNA-containing viruses or virus-like agents (Figure 2; Koonin, 1992a). On the other hand, the opposite trend, that is, association of certain conserved gene arrays and modes of expression with distinct clusters of phylogenetic trees, was no less obvious (see below).

## C. RNA Helicases

### 1. Amino Acid Sequence Comparisons

All positive-strand RNA viruses with genome size over 6 kb encode a (putative) RNA helicase thought to be involved in duplex unwinding during viral RNA replication, and perhaps also translation (Gorbalenya and Koonin, 1989). Very recent work has revealed an interesting exception to this "rule" by demonstrating that human astrovirus whose genome is about 7.2 kb in length does not encode a helicase (Jiang et al., 1993). These results once more emphasize the relative, "opportunistic" nature of even the most prominent regularities in the organization of viral genomes.

Positive-strand RNA virus helicases belong to three distinct superfamilies, each of them also including cellular and DNA viral helicases (Gorbalenya et al., 1988a,b, 1989b, 1990; Hodgman, 1988; Lain et al., 1989; Gorbalenya and Koonin, 1989, 1993b). Like the polymerases, the helicases revealed the "patchy" sequence conservation, with seven conserved motifs in superfamilies 1 and 2 (Figure 3), and three conserved motifs in superfamily III (Figure 4). Superfamilies I and II appeared to be distantly related to each other (Figure 3). Several viruses encode two putative helicases of superfamily I, and these proteins showed the highest degree of divergence in the whole superfamily (Gorbalenya et al., 1988b; Figure 3).

Actual helicase activity has been demonstrated only for CI protein of plum pox potyvirus (Lain et al., 1990, 1991). RNA-dependent ATPase activity has been found in flavivirus NS3 protein (Wengler and Wengler, 1991; Warrenner et al., 1993), and in poliovirus 2C protein (Mirzayan and Wimmer, 1992a), and the essential importance of the principal NTP-binding motif (Figure 3) for virus reproduction has been confirmed by site-directed mutagenesis of the latter protein (Mirzayan and Wimmer, 1992b; Teterina et al., 1992). This limited experimental support notwithstanding, the degree of sequence similarity left virtually no doubt that all the proteins belonging to the three superfamilies possess the helicase activity.

It remains an unresolved and tantalizing question whether the positive-strand RNA viruses with smaller genomes do not require helicase action at all, or they recruit cellular helicases. One hypothesis linking the presence of the helicase gene with the genome size is that the helicase might ensure the fidelity of complementary nucleotide incorporation that is necessary to replicate relatively large genomes (Koonin, 1991b).

## 2. Phylogenetic Analysis

The three superfamilies, to which the (putative) helicases of positive-strand RNA viruses belong, share only two conserved motifs making generation of an overall phylogenetic tree in the

normal sense impractical. Nevertheless, first, all these helicases belong to the single class of NTPases, and, second, superfamilies 1 and 2 are related to each other and distinct from superfamily 3 (Gorbalenya and Koonin, 1989, 1993b; Gorbalenya et al., 1989b, 1990), it is illustrative to present the relationship between them in a tree-like scheme (Figure 5a). Actual trees were generated separately for each of the superfamilies.

Helicases of superfamily 1 are found in viruses with polymerases of supergroup 3, with the only exception of the arteri-like viruses that have a helicase of superfamily 1 combined with an RdRp of supergroup 1. This is the largest group of viral helicases and it caused most of a problem in generating an unambiguous tree topology. The complexity is amended by the existence of two helicases in several groups of viruses. The tree shown in Figure 5b consisted of five distinct lineages: (1) arteri-like virus lineage; (2) lineage compiling the helicases of tobamo-like viruses and alphaviruses; (3) the rubi-like lineage; (4) the tymo-like lineage; and (5) lineage of "second", or "accessory" helicases that are typical of a subset of the tymo-like viruses, hordeiviruses, and BNYVV and are encoded outside of the block of genes mediating RNA replication (Gorbalenya et al., 1988b). However, the relationships between these lineages and the routing of the tree presented a problem. The clustering algorithm of tree generation has isolated the group of the "accessory" helicases as the outgroup, that is, the branch that separated from all the other branches at the root of the tree (not shown). We believe that this may be because of the rapid and anomalous evolution of this group related to a change in their function. This view is supported by the replacement of several otherwise invariant amino acid residues in the conserved helicase motifs in these proteins (Gorbalenya et al., 1988b; Figure 3). It has been shown that the "accessory" helicase-like protein of hordeiviruses, potexviruses, and BNYVV is involved in virus cell-to-cell movement in the infected plant (Petty et al., 1990; Beck et al., 1991; Gilmer et al., 1992). The arteri-like lineage branched next and we believe that the most likely position of the root is between this lin-

I			IA			II		
CMV	708	ISQVDGVAGCGKTTAITS	4	STDIIIVIANKKSADV	31	RVLVDEVV	18	
BMV	680	ISMVDGVAGCGKTTAID	4	GEDLVITANRKSADV	32	RLLVDEAG	18	
AlMV	820	VTIVDGVAGCGKTTNIQ	7	DVDLILTSNRSSADEL	30	RLIFDECF	18	
RBDV	853	IKLVGVTGCGKTTTEIVR	3	PGILILSVCKANVDEI	29	ELFIDEYG	18	
BSMV1	832	FELIDGVPGCGKSTMILN	4	RREVVVGEGRNATDDL	36	RHFIDEAL	18	
TMV	828	VVLVDGVPGCGKTKIELS	4	DEDLILVPGKQAAEMI	35	RLFIDEGL	18	
TMGMV	826	MVLVDGVPGCGKYKGDPE	4	DEDLILVPGKQAAAMI	32	RLFIDEGL	18	
TRV	899	FELVDGVPGCGKSTMIVN	4	CVDVVLSTGRAATDDL	34	VLHFIDEAL	18	
SNBV	181	TIGVIGTPGSGKSAIIS	3	ARDLVTSKKKENCREI	30	VLVDEAF	19	
SFV	181	VVGVPVPGSGKSAIIS	3	KHDLVTSKKKENCQEI	30	ILVDEAF	19	
RUBV	?	IRVWNMAAGAGKTTTRILA	3	REDLVVCPNALLHEI	29	RIYIDEAF	17	
HeEV	?	YOFTAGVPGSGKRSITQ	1	DVDVVVPTRRLRNAW	20	RVVIDEAP	16	
BNYVV1	?	LEYVKGPGTGKSFILRS	4	IRDLVVAPSIKLRSDY	27	IFVDEFT	18	
TYMV	?	VVHAGFAGCGKTYPIQ	7	KDFRVSCPTTELRTW	26	ILVIDEY	19	
KYMV	?	MFHLAGFAGCGKTPLOQ	7	HSFRVSTPTTELRLNW	26	ILVIDEY	19	
ACLV	?	IYGIFGAGSGKSHAION	9	QGIMVICPRRLAKDW	24	LFILDEIS	24	1
ASGV	?	VGLRLGAGSGKTHKVLQ	7	VKRMFISPRRLADEV	26	EVFVDEIG	40	
PVM1	?	LHAIVGTGSGKSTLFKN	7	KSLDFVSPRRLADEV	39	VVILDEMQ	19	
WC1MV1	565	MSVINGAGSGKSHAIQT	9	RHVTIILPTDLRNDW	25	IVFDDYS	19	
PVX1	699	ACVINGAGSGKSHAIQK	8	SDITVVLPTNELRLDW	25	IVFDDYS	19	
BSMV2	264	TGIISGVPGSGKSTIVRT	5	FPAVCALANPALMNDY	22	LLIIDEYT	18	
BNYVV2	128	VGIVLGAPGVGKSTSIKN	8	HKMVLCLPFSQLLEGV	24	TMVDEVT	18	
PVM2	24	PIVVHCVPGSGKSSLIRE	8	CAYTAGVEDQPRLSGN	13	FVVLDEYT	8	
WC1MV2	23	PIVVHAIAGSGKSTVIRK	9	KAYTLGKPDVPSLSNP	12	LDILDEYG	11	
PVX2	24	PLVVHAGAGKSTALRK	8	TNHTLGVPDKVSIRTR	12	FAILDEYT	9	
IBV	?	RTTVQGPFGSGKSHFAIG	7	ARVVFTACSHAAVDAL	52	ILLVDEVS	18	
MHV	?	YCTVQGPFGTGKSHLAIG	7	ARVVYTAASHAAVDAL	52	IVVDEVS	18	
BEV	?	VTFVMGPPGTGKTFVVD	9	NRFVVCAPTHRLVGDM	45	VLIADSVS	17	
EAV	?	SEYVEGPPGSGKTFHLVK	6	GSATLVVPTASHMLDC	43	ETVDEVA	15	
A A S			DA					
cons1	...	.&.G.PG.GKT...&.	....	.&.....&		.&&&DE&		
	:	: :&:*** ::	:	: : : : : : :	:	:*****:		
cons2	..&&....	GSCKT...&P	.R.&UU.PTR.U...&			&&&&DE.H		
A S			K A S K A N					
YFV	190	TTVLDFHPGAGKTRRFLP	10	LRTLVLAPTRVVLSEM	48	VIIMDEAH	23	
WNV	186	ITVLDLHPGAGKTRKILP	10	LRTAVLAPTRVVAEEM	48	LFIMDEAH	23	
DEN2	185	LTIMDLHPGAGKTRVLP	10	LRTLILAPTRVVAEEM	48	LIIMDEAH	23	
JEV	186	MTVLDLHPGAGKTRKILP	10	LRTAVLAPTRVVAEEM	48	LFVIMDEAH	23	
TBEV	191	ITVLDLHPGAGKTRVLP	10	LRTLVLAPTRVVLKEM	48	VAIMDEAH	23	
CFAV	183	RRFVTHPGCKGKTRKIVV	10	QRTVILTPTRVVAEY	46	MIIMDECH	22	
BVDV	?	FKQITLATGAGKTE-LP	10	KRVVLVLPRAAAESV	48	YIFLDEYH	23	2
HCV	?	VAHLHAPGSGKSTK-VP	7	YKVLVLPNSVAAATLGF	47	IIICDECH	24	
TEV	76	DFLVRCAGVSGKSTG-LP	6	GRVLMLEPTRPLTDNM	51	FVIIDECH	21	
TMV	77	DIILMGAVGSGKSTG-LP	6	GGVLLLEPTRPLAENV	51	FIIFDEPH	21	
PSbMV	77	EYLIRGAVGSGKSTG-LP	6	GRVLLLEPTRPLTENV	51	FVIFDECH	21	
PPV	77	DILIRGAVGSGKSTG-LP	6	GHVLLIEPTRPLAENV	51	CIIFDECH	21	
BaYMV	85	WSMVVGHGSGKSTY-LP	14	QQILICEPTQAATENV	50	AIFLDEAH	20	
deHyAV	2657	HVTVAAKTASGKSTF-FP	12	KKLWIVMPRKILRDNW	43	LVFFDEPH	16	

## A

**FIGURE 3.** Conserved sequence motifs in the distantly related positive-strand RNA virus helicases of superfamilies 1 and 2. The alignments are updated versions of the previously published ones (Gorbalenya et al., 1988b, 1989b). The tentative superposition of the seven conserved motifs is essentially as suggested in Gorbalenya et al., 1989b. The consensus patterns are shown separately for the two superfamilies. Asterisks indicate positions where the consensus residues are identical or similar, and colons indicate positions where the consensus residue(s) of one of the families is not present in the pattern for the second family but still is found in a significant proportion of its members. Motif I (A) and II (B) together comprise the purine NTP-binding pattern (Walker et al., 1982; Gorbalenya and Koonin, 1989). For viruses encoding two putative helicases, the one comprising a domain of a large protein and involved in genome replication is designated "1", and the "accessory" helicase encoded by a stand alone gene is designated "2". For other details and designations see caption to Figure 1.

	III	IV	V	VI
CMV	ALCFGDEQ 22	SDADITFRS 81	DRIKTVHESQGISDHTVLR 10	KFSYCLVAVTRHKV 13
BMV	VLAFGDTEQ 22	DVVHKTIRC 80	GHIKTVEAAGISVDNVTLR 10	HEEYCLVALTRHKK 15
AIMV	VIGFGDTEQ 21	ERKLITWRS 68	DNIFTTHEAQQKTFDNVYFCR 16	GPCNGLVALSRHKK 26
RBDV	VTLFGDSEQ 26	EIRSTTYRC 71	SEVRTVHAAQGLSYKNVVYFR 12	KLPVHLVAISRHTD 587
BSMV1	ILAQGDRAQ 21	NPKLASRYI 80	ESISTIHEAQQGYENVILVR 14	SAPYIVVGTSTRHTK 34
TMV	AYVYGDITQ 24	ETRRITLRC 64	SDVHTVHEVQGETYSVSLVR 11	DSPHVLVALSRHTC 30
TMGHV	AYIYGDITQ 24	EMRRTILRC 64	KNVNTVHEIQGETFEDVSLVR 11	SSPHVLVALTRHTK 30
TRV	CICQGDNQ 24	TEKRETYRS 66	AKVSTVHESQGETIFKDVVLR 10	GREYLIVALSRTQ 35
SNBV	VVLGDPNQ 24	FYKYISRRRC 60	HEVMTAAASQGLTRKGVYAVR 11	TSEHVNVLITRTED 378
SFV	VVLGDPKQ 21	CHKSISRRC 60	HEVMTAAASQGLTRKGVYAVR 11	ASEHVNVLITRTED 369
RUBV	VICVGRDQ 19	ERSRHTWRF 52	IRAYTVREAQGM SVGTACIIV 11	TRDLAIYSLTRSD 7
HeEV	VHLLGDPNQ 22	SMHVTHRW 46	PGSVTVHEAQQATYTTETIIA 10	SRAHAIVALTRHTE 7
BNYVV1	IYLVGDEQ 24	HVPIMNFRN 63	VSKTTVRANQGSTYDNVLPV 9	SAELNLVALSRHRN 7
TYMV	VIIIGDPLQ 27	MYCWSYRI 47	YRSCITSSSQGLTFCDPAIIV 8	SSANGLVALTRSR 7
KYMV	VIIIGDPLQ 26	HVCWYTRYV 51	FPATTISASQGVTHHNRVTIL 8	SPSNTLVALTRSTV 7
ACLV	IVCIGDPLQ 26	NYKWSYRI 60	GNVMTFGESQGLTFNCGVIVL 7	SDAHIMVAITRFR 7
ASGV	IRCFGDPQ 27	KYLFQGYRF 62	VPVATVSESQGM TISKRVLIC 8	GANAIAVAITRSKV 7
PMV	LFLVGDPAQ 28	NYKVRSHRF 61	AKVLTGEGSTGLTFMHGTIYI 7	NERRWITALRRFR 7
WC1MV1	AILTGDSKQ 27	YYLNITHRN 47	QKSMYAGCQGLTTKAVQILL 7	SSNVIYITALSRAVD 499
PVX1	VILTGDSRQ 27	YYLNATHRN 48	NDTFTYAGCQGLTKPKVQIVL 7	SANVMYITALSRATD 502
BSMV2	VLLVGDVAQ 17	YRSETTYRL 54	YDCALAIQVQKEFDSVTILFL 9	DKHLRLVALSRHKS 38
BNYVV2	VICFGDPAQ 17	AECYASRRF 58	IESILYSDAHGQTYDVTIIL 10	DPNVRAVLLTRAK 30
PVM2	FALFGDPIQ 10	FVCSVSRFF 51	VEALSQEIITGQTFEVVTRD 6	NRAAAYQCMTRHRR 6
WC1MV2	EIFTDPYQ 10	TTLETTYRF 54	ASFFKVSQDVIGYQWPIVTLYL 10	ERHLLFGLTRHTE 14
PVX2	QALFADPYQ 9	FVLETSFRV 54	VEFVKPCQVTGLEFKVTVVS 7	QSTAFYNAITRSK- 9
IBV	VYVGDPAQ 30	IFLAKCYRC 86	LNQTVDSQSGSEYDVIFCV 8	NINRFNVALTRAKR 28
MHV	VYVIGDPAQ 30	IFLGTCYRC 83	LQTQADSQGSAYDFV IYSQ 8	NVNRFNVAITRACK 31
BEV	VVLLGDPFQ 26	RYLTACYRC 75	GDVTTIDSSQGTAAHLLVL 8	TVNRVIVGCSRSTT 33
EAV	VKGYGLNQ 21	EPLRVCHRF 46	LGHRTIDSIGQCTFPVVTLRL 6	TRPRAVAVTRASQ 28
	AACC		SA S AC	G S
cons1	###&GD..Q	.....R.	....T&...QG.T&..V.&&.	.....&VAUTR...
	:***	::::	: : * * : * :	: : : *
cons2	.&.UTATPP	U&UPS...	&U&.TD&.E.GU.&....UU	T.....QR.GRUGR
	S	A AT	N A	S K
YFV	TILMTATPP 39	AWFLPSIRA 36	FILATDIAEMGANL-CVERVL 27	SASSAAQRRGRIGR 156
WNV	AIFMTATPP 39	VWFVPSVKM 36	FVYTTDISEMGANF-KASRVI 28	TAASAAQRRGRIGR 156
DEN2	GIFMTATPP 39	VWFVPSIKT 36	FVYTTDISEMGANF-KAERVI 28	THSSAAQRRGRIGR 156
JEV	AIFMTATPP 39	VWFVASVKM 36	FVYTTDISEMGANF-GASRVI 28	TSASAAQRRGRVGR 156
TBEV	LVLMTATPP 39	AWFVPSSAK 36	FVYTTDISEMGANL-DVSRVI 25	TTASAAQRRGRVGR 155
CFAV	LIVLSATPP 39	ILFVPSHNQ 32	LIVSTDISEMGANL-GVDLVI 23	TTSSMIQRRGRIGR 147
BVDV	VVAMTATPA 49	LVFVPTRM 36	VIVATNAIESGVLPDLDTVI 30	TVGEQAQRRGRVGR ?
HCV	VVLATATPP 40	LIFCHSKKK 33	VVATDALMTGYTG-DFDSVI 27	DAVSRTQRRGRIGR ?
TMV	ILKVSATPP 44	LVIYASYNE 40	FIVATNIENGVTI-DVDVVV 26	SLGERIQRFGRVGR 277
TEV	ILKVSATPP 44	LVIYASYND 40	FIVATNIENGVTI-DIDVVV 26	SYGERIQKLGRVGR 276
PSMV	ILKVSATPP 44	LVIYSSYNE 40	FIVATNIENGVTI-DIDVVV 24	SYGERIQRLGRVGR 258
PPV	ILKVSATPP 44	LVIYASYNE 40	FIVATNIENGVTI-DIDVVV 24	SYGERIQRLGRVGR 277
BaYMV	KFYVSATPR 45	LVFLAGRPE 42	IIFTTNIETGVTL-SVDCVV 24	TRNERQRIGRGR 284
dsHyAV	TIFMSATPV 52	MIIVPTYNE 31	GLVCTPYVQTGIDIPAPSILI 20	DEKTNEQVRNVGR 136

FIGURE 3B

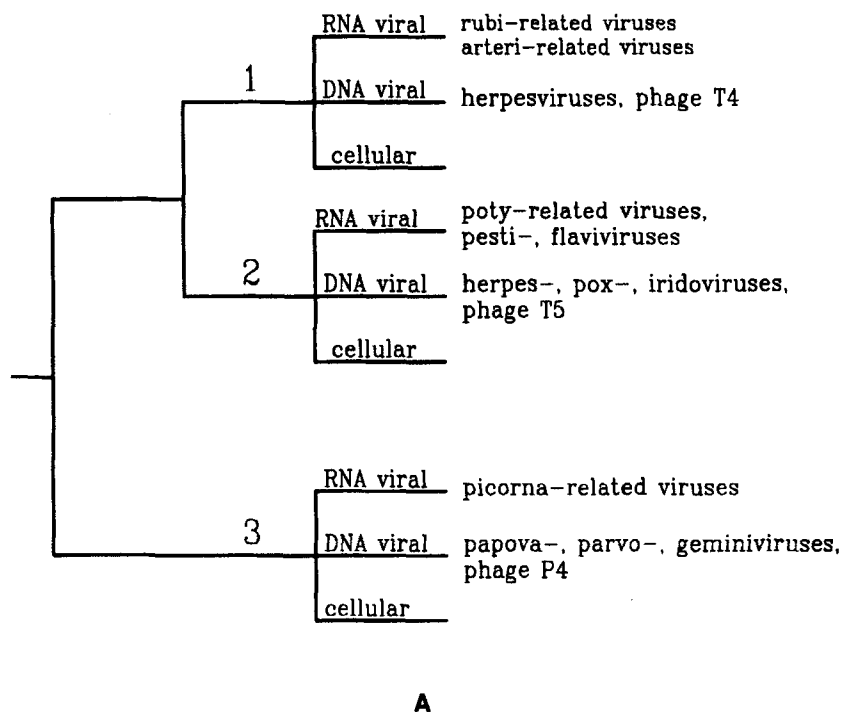
eage and the remaining viral helicases as shown in Figure 5B. With the arteri-like viruses as the outgroup, the helicase superfamily 1 tree shown in Figure 5B mimicked the RdRp tree topology from Figure 2D, with two apparent exceptions. First, the helicases of alphaviruses belonged to the tobamovirus lineage, not to the rubivirus lineage as their RdRps (however, it has to be noted that while the topology of the helicase tree was stable, the position of the alphavirus RdRps in the tree strongly depended on the method used). Second, in the helicase tree the carlaviruses grouped with the tymoviruses, not with the potexviruses as in the RdRp tree. The topology of the helicase

tree with coronaviruses as the outgroup was compatible with the attractive hypothesis of the origin of the “accessory” helicase group by a gene duplication in the putative common ancestor of the tymo-like viruses.

All viruses with relatively large genomes that have RdRp of supergroup 2 encode a helicase of superfamily 2. In addition, superfamily 2 helicases have been found in the poty-like viruses where it is combined with supergroup 1 polymerase. The topology of the putative phylogenetic tree for superfamily 2 helicases was simple enough, with the most likely root position defined using a related cellular helicase as the outgroup (Figure 5C).

		A		B		C	
PV	120	IEPVCLLVHGSPGTGKSVAT	28	QQGVVIMDD	30	KGILFTSNVVLASTNS	105
BoEV	120	SEPVICALIHGSPGTGKSLAT	28	QQAVVVIMDD	30	KGKIFTSKFVVLASTNA	105
HRV2	115	CEPVAIVIHGPPGAGKSIIT	26	QQSVVIMDD	30	KGKAFDSRFVLCSTNH	105
HRV14	120	IEPVCVLHGTGSGKSLTT	28	QQEVVIMDD	30	KGMLFTSNFVLASTNS	105
EMCV	110	CEPVVIVLRGDAGQGKSLSS	30	NQFAAIMDD	30	KGTPFTSQLVVATTNL	109
FMDV	101	PEPVVCLRGKSGQGKSFLA	31	QQTVVIMDD	30	KGKPFNSKVIIATTNL	137
HAV	145	CEPVVCYLYGKRGGKSLTS	32	GQLVCIIDD	30	KGRHFSSPFIATSNN	93
ECHO22	130	IEPIGIWIQGEPGQKSFLT	32	NQDIHLIDD	31	KGKFTSKLVVATTNK	93
RTSV	?	IDPLHVCMLGAPGVGKSTIA	33	QEPVILYDD	36	KGKHCTSKYVVFCTNV	?
PYFV	?	VDPFHVSLYGSPGVGKSFVM	33	GQTAVKCDD	34	KGRTFTSKYIFSTTNV	?
CPMV	159	KMPFTIFFQKSRTGKSLLM	31	PQPFVLMDD	31	KGICFDSQFVFVSTNF	326
TBRV	206	CEPVWIYLFGRHCCKSNFM	30	GQTFHFVDD	31	KPIYFRSPFISSSNF	?
GCMV	?	KEPVWIYLVGPHSGCKSNFM	31	GQTIMEIDD	32	KPIYFKSQFVISSSNQ	?
RHDV	?	PQPVAVIFKGAPGIGKTYLV	26	GEEVAIADE	32	KNKVFN SKYLLCTTNS	?
FCV	?	QVPVCYILTGPFGCGKTTAA	26	GNEVCIIDE	31	KGKLFTSKYIIMTSNS	?
		D C T		E AC E		TS	
CONS		.EP&. &&&.G. .G.GKS...		.Q. &&U&DD		KG. .@.S. &U&.STN.	

**FIGURE 4.** Conserved sequence motifs in the positive-strand RNA virus helicases of superfamily 3. The alignment is updated from the one published previously (Gorbalenya et al., 1990). The designations of the conserved motifs are after Gorbalenya et al., 1990. For the other details and designations see caption to Figure 1.



**FIGURE 5.** Phylogenetic analysis of positive-strand RNA virus RNA helicases. (a) The three helicase superfamilies. An arbitrary scheme is shown based on the qualitative separation of the superfamilies, each of which has a distinct set of conserved motifs (see text and Figures 2 and 3). (b) Superfamily 1. The methods used for tree generation are described in the caption to Figure 8. The root position was inferred from the cluster dendrogram constructed using UPGMA. (c) Superfamily 2. The root position was determined by using the sequence of putative yeast helicase PRP16 as an outgroup. (d) Superfamily 3. The root position was determined by using the sequence of the helicase domain of adeno-associated virus NS1 protein as an outgroup.

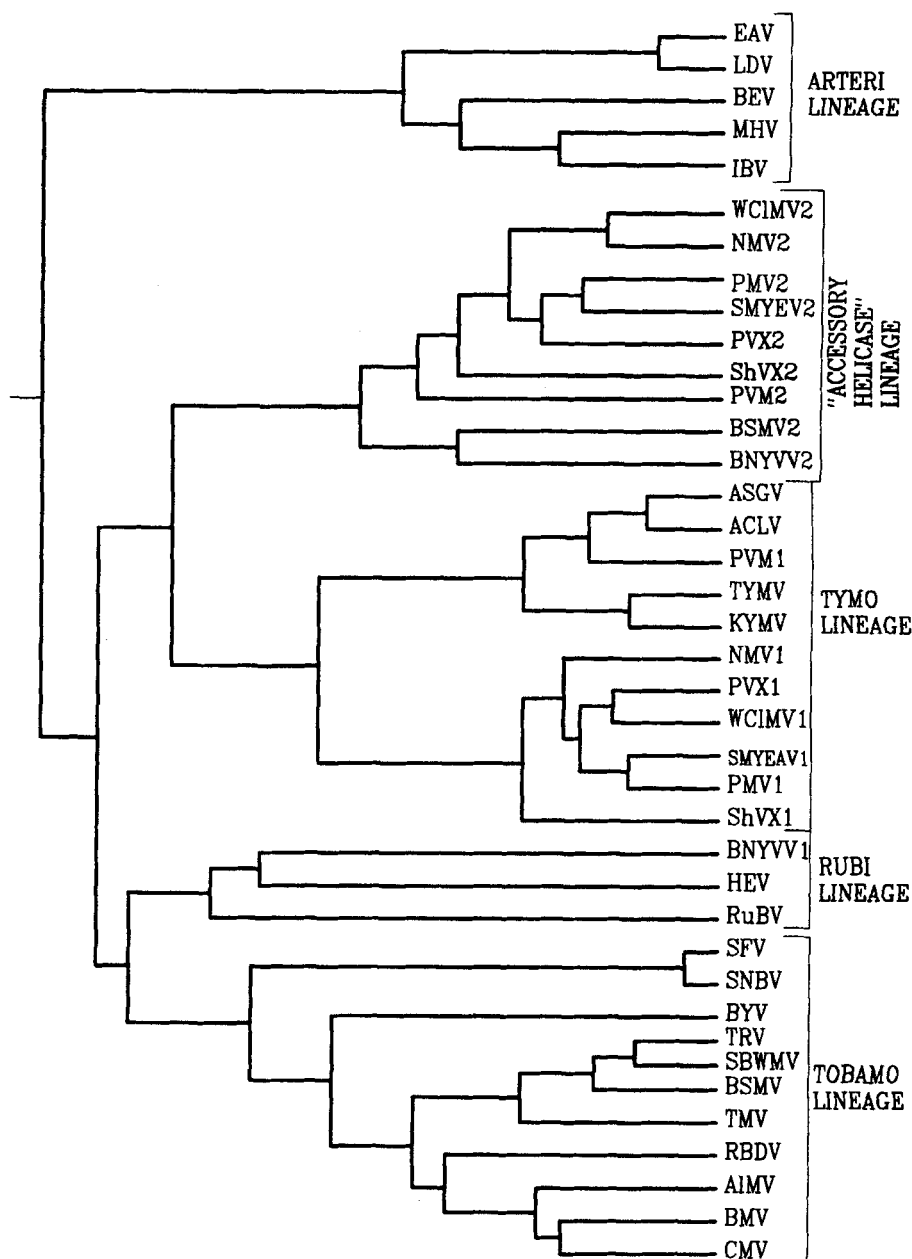


FIGURE 5B

The separation between the poty/bymovirus lineage, and the flavi/pesti/HCV lineage was not unexpected given the topology of the RdRp tree (Figure 2). The only issue of significant uncertainty included the putative helicase of the dsRNA fungal virus HyAV, which was placed with the poty- and bymoviruses by some but not all of the methods of tree generation (Koonin et al., 1991b; Figure 4C).

The helicases of superfamily 3 have been found exclusively in association with supergroup 1 polymerases. As with superfamily 2, phylogenetic analysis of the superfamily 3 helicases was straightforward, with the root position inferred by using a related DNA viral helicase as the outgroup (Figure 5D). The apparent grouping of these helicases conformed to the topology of the respective domain of

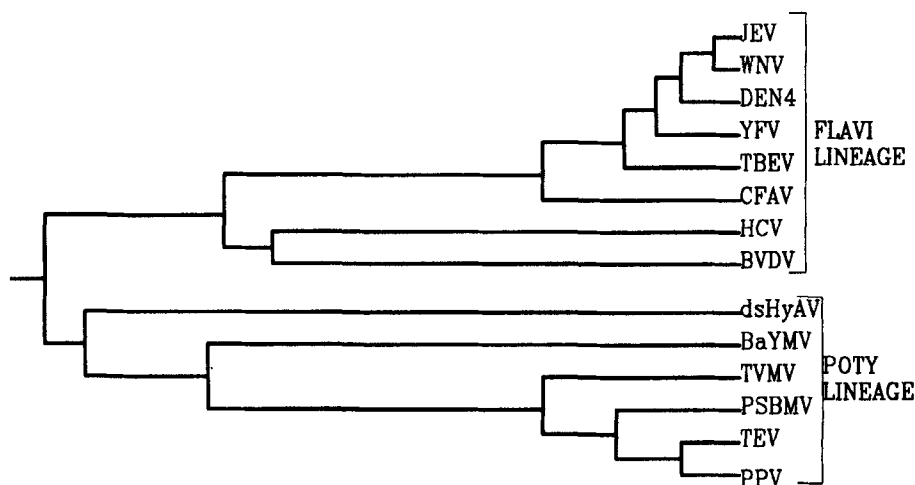


FIGURE 5C

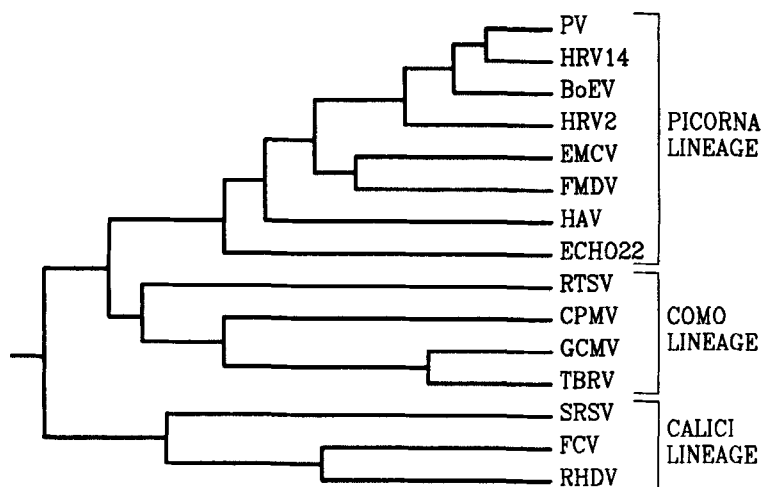


FIGURE 5D

the polymerase tree (compare Figures 5D and 2D).

#### D. Proteases

Numerous positive-strand RNA viruses express all or part of their proteins via processing of polyprotein precursors (Strauss, 1990). Generally, processing of virion envelope proteins is mediated by host proteases, while nonstructural proteins, and in many cases capsid proteins, are pro-

cessed by virus-encoded proteases. There are two main classes of positive-strand RNA virus proteases.

##### 1. Chymotrypsin-Related Cysteine and Serine Proteases

The initial discovery of a virus-encoded chymotrypsin-related serine protease was really surprising as this activity has been identified with the capsid protein of alphaviruses that functions as an

autoprotease cleaving itself from the polyprotein precursor (Hahn et al., 1985). Subsequently, related serine proteases have been identified in flaviviruses, pestiviruses, and hepatitis C virus, first by computer (Gorbalenya et al., 1989c; Bazan and Fletterick, 1989a; Chambers et al., 1990a) and then experimentally (Preugschat et al., 1990; Chambers et al., 1990b; Wengler et al., 1991; Wiskerchen and Collett, 1991). Additionally, the N-terminal protein of potyviruses that has been shown to possess autoprotease activity (Verchot et al., 1991, 1992) also is specifically similar to the alphavirus capsid protease (Figure 6, and E. V. Koonin, unpublished observations).

Unexpectedly, in a parallel series of studies it has been shown that cysteine proteases of picornaviruses and several groups of related viruses also belong to the chymotrypsin-like class, the replacement of the principal catalytic residue in the majority of the proteases of this family notwithstanding (Blinov et al., 1984; Gorbalenya et al., 1986, 1989d; Bazan and Fletterick, 1988, 1989b, 1990). By now, the chymotrypsin-like enzymes comprise the largest class of viral proteases (Figure 6). Some of these proteases, for example, cysteine proteases of picornaviruses and potyviruses, occupy the central position in virus expression and mediate the processing of the majority of viral proteins. Other proteases, for example, the capsid protein of alphaviruses and the N-terminal protein of potyviruses, perform only one cleavage liberating their own C-terminus. The sequence conservation in the viral chymotrypsin-like proteases is largely confined to four conserved motifs, three of which center at the catalytic residues, whereas the fourth distal motif is implicated in substrate binding (Gorbalenya et al., 1989d; Figure 6).

The recent resolution of the three-dimensional structure of the alphavirus capsid protein clearly showed that its conformation is very similar to that of chymotrypsin-like proteases and distinct from that of capsid proteins of other icosahedral viruses (Choi et al., 1991).

It is important to emphasize that in the case of the capsid autoprotease of alphaviruses and the nonstructural proteases of flavi- and pestiviruses,

chymotrypsin-related enzymes with drastically different functions in virus reproduction showed a greater sequence similarity to each other than to any cellular proteases (Gorbalenya et al., 1989c). The same was true of the second large group of viral chymotrypsin-like proteases related to the picornavirus 3C protease, with some reserve regarding the serine proteases of arteriviruses (Gorbalenya et al., 1989d; Gorbalenya and Koonin, 1993a). However, it was very difficult to either prove or disprove the hypothesis that the two large subdivisions of viral chymotrypsin-related protease comprised a single monophyletic superfamily.

Only for the chymotrypsin-like proteases of alphaviruses, flaviviruses, and pestiviruses long enough alignments could be generated to make phylogenetic analysis over long evolutionary distances meaningful. The resulting tree, which has been rooted using a related cellular protease as an outgroup, revealed the expected separation of the alphavirus capsid autoproteases from the non-structural proteases of flaviviruses and pestiviruses but failed to show grouping between the pestiviruses and HCV suggested by the polymerase and the helicase trees (Figure 7).

## 2. Papain-Like Cysteine Proteases

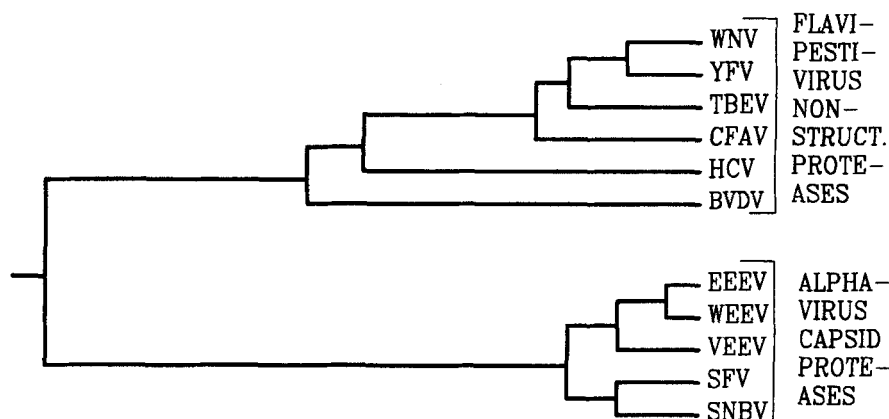
Unlike the unique chymotrypsin-like cysteine proteases, the nature and orientation of the catalytic Cys and His residues in these viral proteases resemble classic cellular papain-like thiol proteases although the actual sequence similarity is quite low (Gorbalenya et al., 1991). In fact, conservation among viral papain-like proteases is observed almost exclusively around the catalytic Cys residue; even identification of the catalytic His in some of these proteases has been a difficult exercise, and no other conserved motifs could be detected (Gorbalenya et al., 1991; Figure 8). Recent studies have shown, perhaps somewhat unexpectedly, that papain-like proteases are almost as widespread among positive-strand RNA viruses as chymotrypsin-like proteases (Oh and Carrington, 1989; Hardy and Strauss, 1989; Gorbalenya et al., 1989a, 1991; Lee et al., 1991; Koonin et al., 1991b, 1992). The leader function

PV	3C	32	NVAILPTRA	28	LEITIITLKR	61	PTRAGCGG-VITCT-G----	KVGMHVGG	19
CVB4	3C	32	RWAVLPRA	28	LELTLLKLR	61	PTKAGCGG-VMST-G----	VLGVIHVG	19
BoEV	3C	32	TVVVLPRHA	28	LELTVIKLM	61	PTKAGCGG-VVISM-G----	KIVGVHVG	19
HRV89	3C	32	QVVCPLTHS	28	LEITVVKLIR	61	PTKAGYCGG-VLYKV-G----	SILGIHVG	19
HRV14	3C	32	RVCVLPTRA	28	LEITVTLDR	60	ATKTGCGG-VALLCT-G----	KIFGIVHG	19
EMCV	3C	40	RTLNVNRRM	31	TDVSFIRLS	64	NRTRKGWGSCALADL--GSGKKILGHSAG	25	25
FMDV	3C	40	TAYLVPRHL	35	SDAALMVLR	64	ATRAGYCGGAVLAKD-GADTFIVGTHSAG	29	29
HAV	3C	40	DWLLVPSHA	37	QDVVLKVP	69	AWRPGMCGGALVSSNQSIQNAILGHIBVAG	23	23
ECHO22	3C	39	DEYLIGHSHS	35	MDLAILCKKL	62	KSPCKDCGGILLISKEVG-NFKILGMHIAG	20	20
PV	2A	12	GKICNYHL	15	RDLLVTESA	46	FASPGDCGGILRC-HHC-----	VIGITTAG	23
CVB4	2A	13	NYKVNRL	15	RDLLVSTTTA	46	FSEPGDCGGILRC-EHC-----	VIGLVTMG	23
BoEV	2A	13	SYKILNRL	15	RDLLVTRVDA	46	FAEPGDCGGILRC-EHC-----	VNGILT VG	23
HRV89	2A	10	NLIYRNRL	14	SDLIIVRTNT	46	PCEPGDCGGKLLC-KHC-----	VIGMITAG	19
HRV14	2A	12	NVKIMNYH	15	RDLAIIVSTTG	46	PAEPGDCGGILRC-IHC-----	PIGLLTAG	20
FCV	3C1	?	HGVASVHV	18	GEFCOFRSTK	47	ETHPGDCGLPYI-DDNG-----	RVTLGTGS	?
SRSV	3C1	?	FTVITITHV	21	GETCFRFSK	70	TI PGIDGCGPYV-HRGNDVMVCGVHAAA	?	?
RHDV	3C1	?	NGLISNTH	14	TDLCLVKGES	45	QTTHGDGGLPLY-DSSG-----	KIVAIHTGK	?
BaYHV	Nia	?	DWILVPGHL	34	-DVAIIRPPA	51	STVLKMGCGPWTLER-----	QIDGITVAT	?
TEV	Nla	226	PIITNKHL	34	-DMIIRMPK	53	TKDGQCGCSPLVSTRDG-----	FVIGIHSA	72
TMV	Nla	221	PYIIANQHL	34	-DIIVIKMAK	53	TKDGQCGCSPLVSIIDG-----	NILGIHSLT	71
RTSV	3C1	?	TYVCPARY	34	QETVVWDLGP	74	HCMPCFGGRINRADATCFRKIIGHMVHV	?	?
PYFV	3C1	?	GFLLAPLHT	32	YDACIIRDTA	66	KTEDGQCSCSLVTSDKLDKVFCSLVAG	?	?
RCMV	p24	32	RFRIGYSB	33	SELACYHSSC	70	PTVMSDDGSMITNVGG-KTKIVGHVAG	21	21
CPMV	p24	32	RRLFACKH	33	SELVLYSHPS	70	PTIPEDCGSLVIAHQIG-KHKIVGVHVG	21	21
TBRV	p24	30	KSVMRTRHQ	35	SEIVTWLAPS	72	ESRNDDCGMILCOIQIK-KMRVVGMLVAG	19	19
GCMV	p24	?	KCYMMTRHQ	35	SEIVCWLA PS	77	ESRNNDDCGMILTQSJG-KMKVYGMVLAV	?	?
IBV	3C1	33	DTIYCPRHV	18	HEFEVTTQH	74	SFLAGACGSGVFNIEKG-VVNFFYMHL	143	143
MHV	3C1	33	DKVYCPRHV	21	SDFCVMSDRM	65	SFLOGSGSGSVGYLTGD-SVRFYVMHOLE	137	137
SBMV	3C1	41	DVLMVPHV	31	IDFVLVKVPT	53	PTAKGWSGTPLY-TRDG-----	IVGMHTGY	?
PLRV	3C1	39	NALMTAEH	29	NDISILVGPP	53	HTGPGYSGTPFW-SSKN-----	LLGLVKGF	?
BWYV	3C1	30	NAVATAHVB	33	GDVILTRGPP	55	NTEGSGSGTPFW-NKGT-----	ILGVHSCA	?
PEMV	3C1	52	TGIVLPIBV	27	HDSLINTSAM	54	DTRPGDSGLPLF-DNMK-----	NVVAHRTG	?
EAV	3C1	31	VVVLTAHV	23	GDFAEAVTQG	40	WTTSGDSGSAVV-OQDA-----	VVGVTHTG	67
LV	3C1	31	RTVVTAHL	22	GDYAWSHADD	38	FTNCGDSGSPVI-SESG-----	DLIGITGS	68
HCV	NS31	?	GGISSVDHV	25	TDESEYCVKT	51	KNLKGWGSLPIFEASSG-----	RVVGRVKVG	?
BVDV	NS31	?	GGISSVDHV	25	TDETEYGVKT	53	KNLKGWGSLPIFEASSG-----	RVVGRVKVG	?
HeCV	NS31	?	GVCWTVYHG	21	QDLVGNPAPQ	46	SYLKGS SG PLLC-PAG-----	HAVGIFRAA	?
TBEV	NS3	46	GVLHTMHV	21	EDLVYCGGAA	48	DLAKGTSGSPIVN-SQC-----	VVGLYGNG	464
YFV	NS3	45	GVFHTMHV	21	EDLVYCGGSA	49	DPFSGTSGSPIVN-RNG-----	EVIGLYNG	466
WNV	NS3	43	GVFHTLWH	21	EDRLCYGGPW	48	DYPGTGSGSPIVD-KNG-----	DVIGLYNG	465
JEV	NS3	43	GTFTMHV	21	KDLISYGGGW	48	DFSPGTSGSPIVD-KKG-----	KVGLYGNG	464
CFAV	NS3	47	GVFHTLMHV	21	RDVVSYGGPW	44	DFKGSSGSGSPFFINGEPVGFYGFYVNG	417	417
VEEV	CP	144	GKLFPRMHV	20	YD-LEYADVP	39	VGAKGSDSGRPILD-NQG-----	RVVAIVLGG	31
SNBV	CP	133	GKVMKPLHV	20	YD-MEFAQIP	39	VGGRGDSGRIPIHD-NSG-----	RVVAIVLGG	31
SFV	CP	137	DKVMPARHV	20	YD-LECAQIP	39	ACKPGDSGRIPIHD-NKG-----	RVVAIVLGG	30
WEEV	CP	126	GRMKPLHV	20	YD-LEYGDPV	39	VGGKGDGSGRPILD-NRG-----	RVVAIVLGG	31
TEV	NtP	206	QLFASVRRM	6	VD-LRIDNWQ	18	KLITFGSSGLVLRQ-GSY-----	GPAHWYRHG	30
PPV	NtP	208	YFRTHVRHL	6	YD-LVLDEAT	20	EVTPGMSGFVWNP-INL-----	SDPMQVYDT	31
TMV	NtP	157	ALFIDVRAH	6	ID-CRHMRE	18	HLRKGDSGIVLLT-QKI-----	KGHLSCVRD	31
PVY	NtP	184	SAAVRTAHM	6	YD-FRCMDWT	20	NIRRGDSGIVLLT-KSL-----	KHGFGRSSG	31
PSBMV	NtP	299	LLOVETKHH	6	KD-ASLNNLT	18	SITHGHSGVVFLR-ANI-----	SGSKSYSID	31
A				E C		C G		A H	
consensus				D . . . . .		. . . . . G . SG . . . . .		. . . . . G . SG . . . . .	

**FIGURE 6.** Conserved sequence motifs in positive-strand RNA virus chymotrypsin-related cysteine and serine proteases. The alignment was constructed by combining the conserved motifs from updated versions of the previously published alignments for distinct families of viral proteases (Gorbalenya et al., 1989b,c; Verchot et al., 1991). The (putative) catalytic residues are shown by asterisks, and residues thought to participate in substrate binding are shown by exclamation marks. 3CI indicates a "3C-like protease", NS31 indicates an "NS3-like protein", and NtP indicates an "N-terminal protein". The other designations are as in Figure 1.

with the single C-terminal cleavage is very typical of this class of proteases (e.g., aphthoviruses, potyviruses, coronaviruses, arteriviruses). In

alphaviruses (Hardy and Strauss, 1989), and probably also in RubV and HEV (Gorbalenya et al., 1991; Koonin et al., 1992), a papain-like protease



**FIGURE 7.** Phylogenetic analysis of the family of positive-strand RNA virus chymotrypsin-related proteases including the capsid autoprotease of alphaviruses and the nonstructural protease of flaviviruses and pestiviruses. The root position was inferred by using the sequence of *Staphylococcus aureus* protease V8 as an outgroup.

BNYVV	?	CADFYNLVSRPNNCLVVAISECLGVT	77	SDGHFIAAPLSS	?
RUV	?	RASTRGGELDPNTCWLRAAANVAQAA	105	PTGHFVCAVGGG	?
HEV	?	FCCFMKWLQGECTCFLQPAEGAVGDQ	91	PERHNLSDASQ	?
IBV	?	RDNFLILEWRDGNCWISSAIVLLQAA	147	NSGHICYTQAAGQ	?
MHV1	leader	CGNYFAFKQSNNNCYINVACLMLQHL	141	SVAH-YTHVKCK	?
MHV2	?	CG-FYSPAERTNCWLRSTLIVMQSL	135	NDCHSMAVVDGK	?
EAV	leader	150 SLIVTTDQEQDGF CWLKLPPDRREA	50	RAWHITTRSKCL	22
LV1	leader	62 IGIPQVECTPSGCCWLSAVFPLARMT	65	GATHVLTNSPLP	?
LV2	?	V---QNPDVFDGKCWLSCFLGQSVEV	53	WIRHLTLDLDDVT	32
FMDV	leader	38 KT-FYSRPNHNDNCWLNTILQLFRYV	42	NIKHLLOTGIGT?	84
			71	ADPHAGIFMKGQ?	56
			81	GQEHAVFACVTS?	46
SNBV	nsP2	467 TPRANPFCKTNVCWAKALEPILATA	63	PVAHWDNSPGTR	240
SFV	nsP2	465 AAPVDAFQNKANVCWAKSLVPVLDTA	56	--NHWDNRPGGR	242
RRV	nsP2	465 STAVDPFQNKAKVCWAKCLVQVLETA	55	--NHWDNRPGGR	243
VEEV	nsP2	464 PDPTDVFQNKANVCWAKALVPVLKTA	56	--NHWDNSPSPN	240
TEV	HC	331 LNEEKMYIANEGYCYMNIFFALLNVV	57	KTMHVLD SYGSR	32
PPV	HC	330 AKGGAMFIAKAGYCYINIFLAMLINI	57	KIFHVVD EFGSL	32
TVMV	HC	330 EISNLMYIAKEGYCYINIFLAMLNVV	57	KTIHVVD SYGSL	33
PVY	HC	329 GDSEMLYIAKQGYCYINVFLAMLINI	57	QTCHVVD SFSGQ	33
PSBMV	HC	331 TETGRMWIAKEGYCYINIXFAMLNVV	57	QIFHVVD SYGSM	33
BaYMV	HC1	129 VQTFIAFDFAHGYCYLSLFIPLSFRI	56	LQFHVSDARG-L	33
HyAV	p29 leader	148 SRNGSLAQFGQGYCYLS---AIVDSA	43	-VYHVV-----V	30
HyAV	p48 leader	327 EIDTLRVPVEEGRCF-----ELLFNN	36	QCVHIVA--GET	24
consensus		.....C@U.....U&... ..H&.....			

**FIGURE 8.** Conserved sequence motifs in positive-strand RNA virus papain-like cysteine proteases. The alignment was an updated superposition of the conserved motifs from previously published alignments of this type of proteases (Gorbalenya et al., 1991; Koonin et al., 1991, 1992). The tentative identification of a papain-like protease of BNYVV has not been described previously. The leader proteases, that is, proteases that comprise the N-terminal domain of a polyprotein and cleave a single site at their own C-terminus, are marked. The catalytic histidine in the leader protease of FMDV could not be identified unambiguously and three candidate segments are shown (Gorbalenya et al., 1991). MHV and LV each encode two putative papain-like proteases, while the related viruses IBV and EAV possess only one domain of this type (Lée et al., 1991; Godeny et al., 1993). The other designations are as in Figure 1.

appears to be responsible for the entire processing of the nonstructural precursor polyprotein. Moreover, it is known that tymoviruses express their nonstructural proteins via polyprotein processing (Morch et al., 1990) and the proteolytic activity has been mapped to a distinct domain (Bransom et al., 1991). Recent experiments have shown that this protease is probably of the papain type (Rozanov et al., 1992a; Bransom and Dreher, 1993; T. W. Dreher, personal communication). Amino acid sequence comparisons indicated that related proteases may be encoded by carlaviruses, capilloviruses, and BNYVV (Figure 8; E. V. Koonin, unpublished observations), suggesting that all these viruses have an expression strategy similar to that of tymoviruses.

## E. Methyltransferases

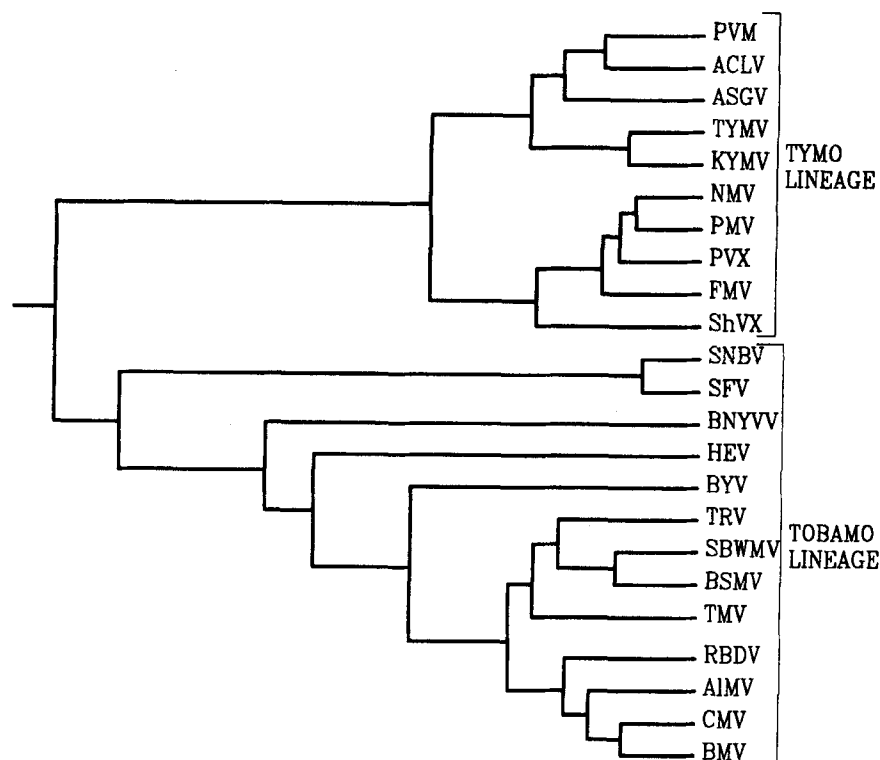
Reproduction of positive-strand RNA viruses that have capped plus-strand RNA re-

quires either viral or cellular capping enzymes. Association of the N-terminal domain of the nonstructural polyprotein with methyltransferase activity has been clearly demonstrated for alphaviruses by genetic and biochemical experiments (Mi et al., 1989; Mi and Stollar, 1991). The same type of methyltransferase domain has been tentatively identified in a number of related virus groups by amino acid sequence comparison; these domains had unique conserved motifs unrelated to those found in cellular methyltransferases (Rozanov et al., 1989b; Figure 9).

This type of methyltransferase domain is strictly associated with supergroup 3 polymerases and superfamily 1 helicases. The tentative phylogenetic tree for the methyltransferases separated into two clear-cut lineages, the tymo-like viruses and the tobamo-like viruses together with alphaviruses (Figure 10), in accord with the results of alignment analysis (Rozanov et al., 1989b). The methyltransferase tree failed to reveal the rubi-like

I			II			III		
SNBV	33	NDHANARAFSHLASK	35	RSPEDPDR	149	GSTL-YPEHRASLQSWH	270	
SFV	37	NDHANARAFSHLASK	35	RSAPEDPER	151	GSTL-YTESRKLLRSWH	277	
TRV	92	MVHGFAAAERKLQAL	36	LDIRDDQR	132	DPSFSYIHDWEEYKKYL	947	
BSMV	87	GTHSMAACFRFLETE	38	LSMRDSE	140	DPNAGYSHDLKDYLKVV	834	
TMV	78	AVHSLAGGLRSLELE	36	LDVRDIMR	127	ESTLNYCHSYSNILKYL	835	
AMV	96	SSHCFAAAHRLLLETD	37	LDARDGAR	105	EPNLGYSHRFSLLKHYL	848	
BMV	77	APHSLAGALRVAEHY	36	LGVRDAAR	107	ESTLSYLGWQDLGSFF	701	
CMV	78	APHGLAGALRLCETL	39	LGIRDKMR	133	ESTMSYVHDWNIKSFM	688	
RBDV	200	GPHNMAAAHRLLETH	36	LDLRDNER	168	DSTMGYRHDWEVYSKYL	950	
HEV	62	WNHPIQRVIHNELEL	32	PVGRDVQR	106	DTSAGYNHDVSNLRSWI	?	
BNYVV	215	RNHPLVLAALREVMRQ	40	KDSKDLVR	126	CEDVGYNFSVD---AWL	?	
RUBV	63	SDHPALHAISRYTRR		170		HPGRLYRCGPR---LWT	?	
		G		K		A		
cons1		..H..A.A&..&...		...RD..R		...&.Y...&.....@&		
		* * *		: * *		* * *		
cons2		H.H...K.UE..UL.		U...D..R		.....Y.Q.&.....@U		
		H				H		
KYMV	64	HHPAHKTLETHLLF	38	LTPTDSVR	68	HSAGSYNQIPITAL-SWL	?	
TYMV	64	HPHAAHKTITETLLC	38	LHPNDSTR	68	HEAGSYNQPSDAH-SWL	?	
PVM	68	HSHPVCKTLENYILY	39	VTSADRM	97	VQSEGYQQPLKG--GYL	?	
ACLV	69	HSHPGCKTLENHLLF	43	VTAKDKAR	72	VRSESYTQPLEN--GFL	?	
ASGV	75	HSHPISKMIENHLLY	47	IDGKDKYR	95	VASECYEQNLANS-KWP	?	
WC1MV	65	HSHGAVKSIENTLLE	38	IEPRDLQR	72	HGGGSYSHEFKQL-EWL	1070	
NMV	65	HTHAACKVIENRMLL	38	VEPKDLFR	72	HGGGCYFHPYTTL-EWL	1419	
PVX	65	HTHAAAKTIENKLL	38	IEPRDVAR	72	HGGGAYHHEFSLH-QWL	1232	

**FIGURE 9.** Conserved sequence motifs in type I putative methyltransferase domain of positive-strand RNA viruses. The motifs were taken from an updated version of the previously published alignment (Rozanov et al., 1992). The consensus was derived separately for the rubi-like group and the tymo-like group, and the correspondence between the two patterns is shown as detailed in the caption to Figure 3. In the RubV sequence motif II could not be identified (Rozanov et al., 1992). The other designations are as in Figure 1.



**FIGURE 10.** Phylogenetic analysis of the type I putative viral methyltransferases. The root position was inferred from the cluster dendrogram.

division, which was apparent in both the RdRp and the superfamily 1 helicase trees. As discussed previously (Rozanov et al., 1989b), the sequence of the putative methyltransferase domain in RuBV was anomalous, precluding unambiguous alignment with other virus methyltransferases. Thus the RuBV sequence even could not be included in the phylogenetic analysis. The methyltransferases of HEV and BNYVV did not group together either, in contrast with the grouping of the RdRps and the helicases. Where discrepancies were observed between the RdRp and the helicase trees, that is, in the positions of the alphavirus and the tymovirus, the methyltransferase tree agreed with the helicase and not with the polymerase tree.

The second type of methyltransferase domain was found exclusively in flaviviruses and showed significant similarity to cellular S-adenosylmethionine-utilizing methyltransferases (Koonin, 1993).

## F. Conserved Nonstructural Domains of Unknown Function

In addition to the conserved proteins with known or at least surmised functions, several domains have been delineated in nonstructural proteins of positive-strand RNA viruses whose functions remain to be determined. The most remarkable of these was the so-called X domain, which is associated with the (putative) papain-like proteases in alphaviruses, rubella virus, hepatitis E virus, and coronaviruses (Gorbalenya et al., 1991; Koonin et al., 1992). Other conserved domains have been identified in the arteriviruses and related virus groups (den Boon et al., 1991), and in rubella virus, HEV, and BNYVV (Koonin et al., 1992). Given the high variability of the positive-strand RNA virus genomes, the importance of these domains for virus reproduction is beyond reasonable doubt but search for functional motifs that might have given clues as to their actual roles so far has been unsuccessful.

## G. Capsid Proteins

Capsids of positive-strand RNA viruses have either spherical (icosahedral) or helical symmetry. Not unexpectedly, capsid proteins reveal much less sequence similarity than proteins involved in replication and expression. For icosahedral capsid proteins, significant sequence conservation has been observed only among picornaviruses, caliciviruses, and RTSV (Palmenberg, 1989; Gorbalenya et al., 1992; Shen et al., 1993), and among several groups of small plant viruses (Dolja and Koonin, 1991). However, a wide variety of viruses have conserved, so-called jelly roll conformation of the capsid protein (Rossmann and Johnson, 1989; Chelvanayagam et al., 1992). It is suspected that this type of capsid protein has evolved only once. However, capsid proteins of RNA bacteriophages (Valegard et al., 1990) and possibly those of tymoviruses have a different conformation. Taken together with the data on the tertiary structure of the alphavirus capsid protease (Choi et al., 1991), these observations indicate that generally the icosahedral capsid of positive-strand RNA viruses is polyphyletic.

It has been shown that two large families of helical capsid proteins possess several conserved motifs; moreover, two motifs appeared to be common to both families (Dolja et al., 1991; Figure 11). Thus it is likely that all capsid proteins of plant viruses with helical capsids have a common origin. Unexpectedly, a domain with obvious similarity to the rod-shaped capsid proteins was identified in the nonstructural polyprotein encoded by RNA 2 of BaYMV, which is a filamentous virus (E. V. Koonin, unpublished observations). The role for this domain in virus reproduction is unclear, but it may be important as the main conserved motifs of the capsid proteins were intact (Figure 11).

Tentative phylogenetic trees had to be generated separately for the rod-shaped family (Figure 12A) and the filamentous family of capsid proteins (Figure 12B). When these trees were superimposed on the RdRp or the helicase trees, it was clear that viruses with capsid proteins of both families are scattered among viruses with other capsid types (compare Figures 12, 2, and 5). This makes one of the strongest cases for the "shuffling" theory of virus evolution (see below). Par-

ticularly striking is the situation with potyviruses and bymoviruses whose capsid proteins were related to those of potexviruses and carlaviruses, while the nonstructural proteins of these viruses were phylogenetically remote. An interesting cluster in the tree of rod-shaped capsid proteins was formed by the "pseudocapsid" domain of BaYMV, and the capsid protein of SBWMV furovirus (Figure 12A), suggesting the possibility of horizontal transfer of the capsid protein gene from a furovirus to a bymovirus associated with a change in function.

The nucleocapsid protein of coronaviruses appears to represent an independent type of capsid structure (Lai, 1990).

## F. A Note on the Reliability of the Results of Phylogenetic Analysis

Ideally, a single optimal method of tree generation should be used for phylogenetic analysis throughout. Unfortunately, however, a method like that does not exist (so far) and we were forced to use the consensus of several methods based on different assumptions. Generally, distinct groups of viral proteins (e.g., the picorna-like division, the poty-like division, the tymo-like division, etc.) were very stable independent of the method of tree generation use. On the other hand, more variation was observed both at the higher level, that is, in the branching order of these groups within supergroups, and at the lower level, that is, in the branching of smaller groups and species within the stable groups. Although this situation called for much caution in the interpretation of the results of phylogenetic analysis, we believe that there is a strong reason to consider the stable divisions to be real evolutionarily compact entities.

## VI. CONSERVATION AND VARIABILITY IN THE ARRANGEMENT OF THE BUILDING BLOCKS

When comparing the tentative phylogenetic trees for individual viral proteins, we have already mentioned the prominent correlations between them. Below we will see that despite the known plasticity of the positive-strand RNA virus

		+		-	
TRV	61	DENTRFPSG-KVY	93	AVVQR---TFEKEY	27
PEBV	61	TRFKRFS DG-EEV	95	ALDQE---DFEEKF	33
BSMV	73	DVDRRFAGA-R--	87	VYTRK---TFEREL	16
TMV	56	QVTVRFPDS--DF	62	SYNRS---SFESSS	18
RMV	56	APNQRF PDT--GF	62	YMNRA---EFEAI-	17
CGMMV	56	DINSRFPDA--GF	62	VYDRA---SFEAAF	20
BNYVV	68	SPMTRFPQTLTMY	80	MWTRD---KFEDRF	15
NVMV	59	---TRFPDTMVRT	77	LYTRT---TIENKL	15
BaYMVx	65	RREKRFP A---YF	57	CYTRN---IFENDN	521?
cons1		....RFP.....@		.&.R.      &E...	
		*		*	
cons2		...&R.&C...&S.		...K&      AFDf&.	
		U      A		R      G	
PeMV	144	KPTLRQIMAHFSD	28	SLARY---AFDFYE	71
SCMV	195	KPTLRQCMHFS D	28	SLARY---AFDFYE	56
TEV	155	QPTLRQIMTHFS D	28	SLSRY---AFDFYE	56
TVMV	156	NPSLRQIMKHFS N	28	NLAPF---AFDFFE	57
BaYMV	202	NGGLRRIMRNYS D	22	ANAKY---AFDFV	49
PVX	112	CT-LRQFCMKYAP	21	PEHKF--AAFDFN	78
PMV	95	GTSLRKFCRYFAP	20	PSAKF--AAFDFD	71
WC1MV	95	HCTIRQFCMYFAN	21	EESKF--AGDFD	48
NMV	108	NITPRQFCMYFAK	21	DDCKF--AQDFFE	84
LVX	92	L-PLRQFCRYAK	21	AEARF--AAFDFD	61
PVS	180	A-GLRKVCRLYAP	21	WNARF--AAFDTF	66
PVM	189	AETLRVCRLYAP	21	YEDRF--AAFDCF	67
LSV	178	A-GLRKVCRLYAP	21	YNTRF--AAFDTF	66
ACLV	95	--TFRQVCEAFAP	25	--SKYPELMFDFNK	52
ASGV	?	--TFRKLCEPFAD	24	AFEKSPWVAFDFAT	44
BYV	104	PNKLRFCFCRTFQK	24	AEDHY--LAADFIS	49
BYV p24	123	PNPVRTFCATFED	24	SGYEF--LGADFL-	45
CTV	132	TNALRVWGRTNDA	24	AGYHY--LCADFL-	43

rod-shaped  
capsid proteins

filamentous  
capsid proteins

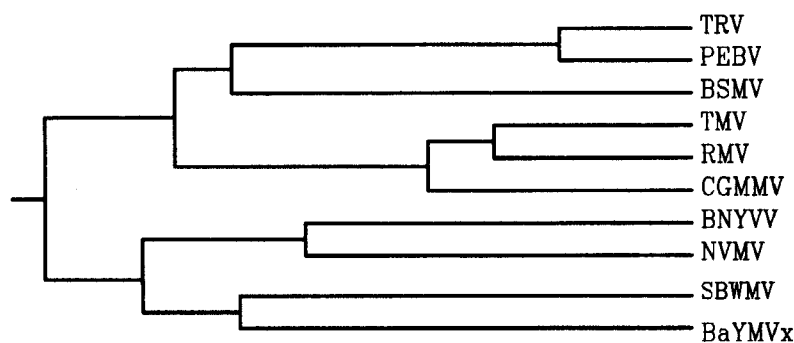
**FIGURE 11.** Conserved sequence motifs in the capsid proteins of positive-strand RNA viruses with elongated particles. Motifs extracted from previously published alignments (Dolja et al., 1991; Boyko et al., 1992) were combined and updated. The conserved positively charged residue (+) in motif I is thought to form a functionally important salt bridge with the conserved negatively charged residue (-) in motif II. BaYMVx is a newly identified region of the RNA2 polyprotein of BaYMV, which is related to the capsid proteins of rod-shaped viruses (see text). p24 of BYV is the diverged copy of the BYV capsid protein that is apparently not included in the virions (Boyko et al., 1992). The consensus was derived separately for the rod-shaped family and the filamentous family, and the correspondence between the two patterns is shown as in Figures 3 and 9. Viruses with partially sequenced genomes not mentioned in Table 1: NVMV, *Nicotiana vellutina* mosaic virus (a putative furovirus); RMV, ribgrass mosaic virus; SHMV, sunnhemp mosaic virus; CGMMV, cucumber green mottle mosaic virus (tobamoviruses); SCMV, sugarcane mosaic virus (a potyvirus); PVS, potato virus S; LSV, lilia symptomless virus (carlaviruses); LVS, lilia virus S (a potexvirus); CTV, citrus tristeza virus (a closterovirus). Sources for these sequences are cited in Dolja et al., 1991; Boyko et al., 1992.

genome, this congruency is complemented by existence of stable conserved gene arrays. We will be using the tentative phylogenetic tree for the RdRps (Figure 2) as the general framework for this discussion.

## A. Conserved Gene Arrays

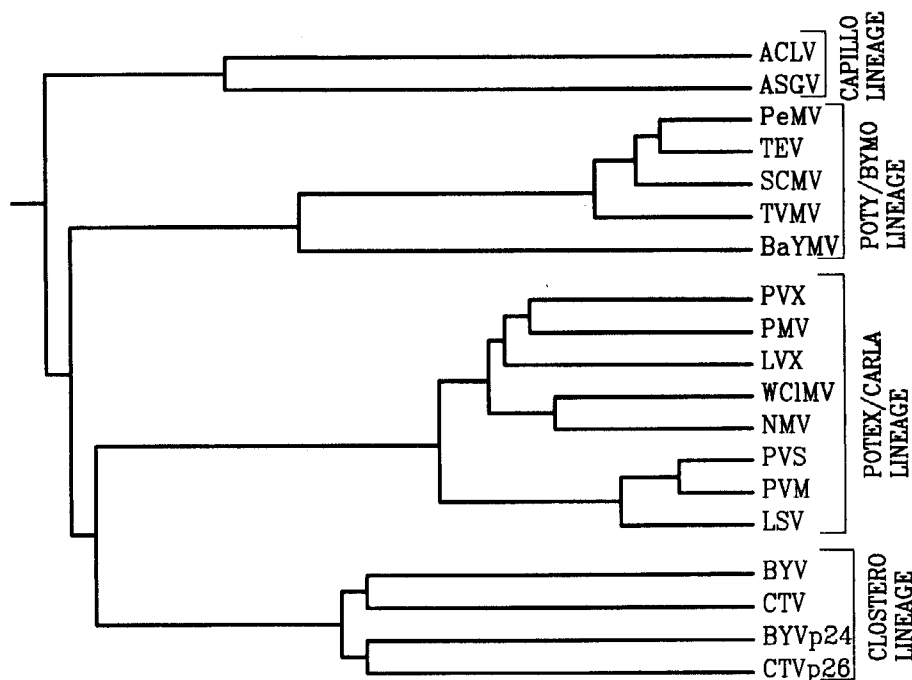
The presence of conserved arrays of genes coding for proteins involved in replication and

expression in positive-strand RNA virus genomes is obvious (Figure 13). The most persistent gene combination is the helicase-polymerase, with the helicase gene being typically located upstream of the polymerase gene. This "rule" holds for all three polymerase supergroups (i.e., for viruses with relatively large genomes encoding helicases), and accordingly for all the three helicase superfamilies that are specifically associated with the former (Figure 13). It is violated only in the arteri-like viruses, and in the double-stranded genome



A

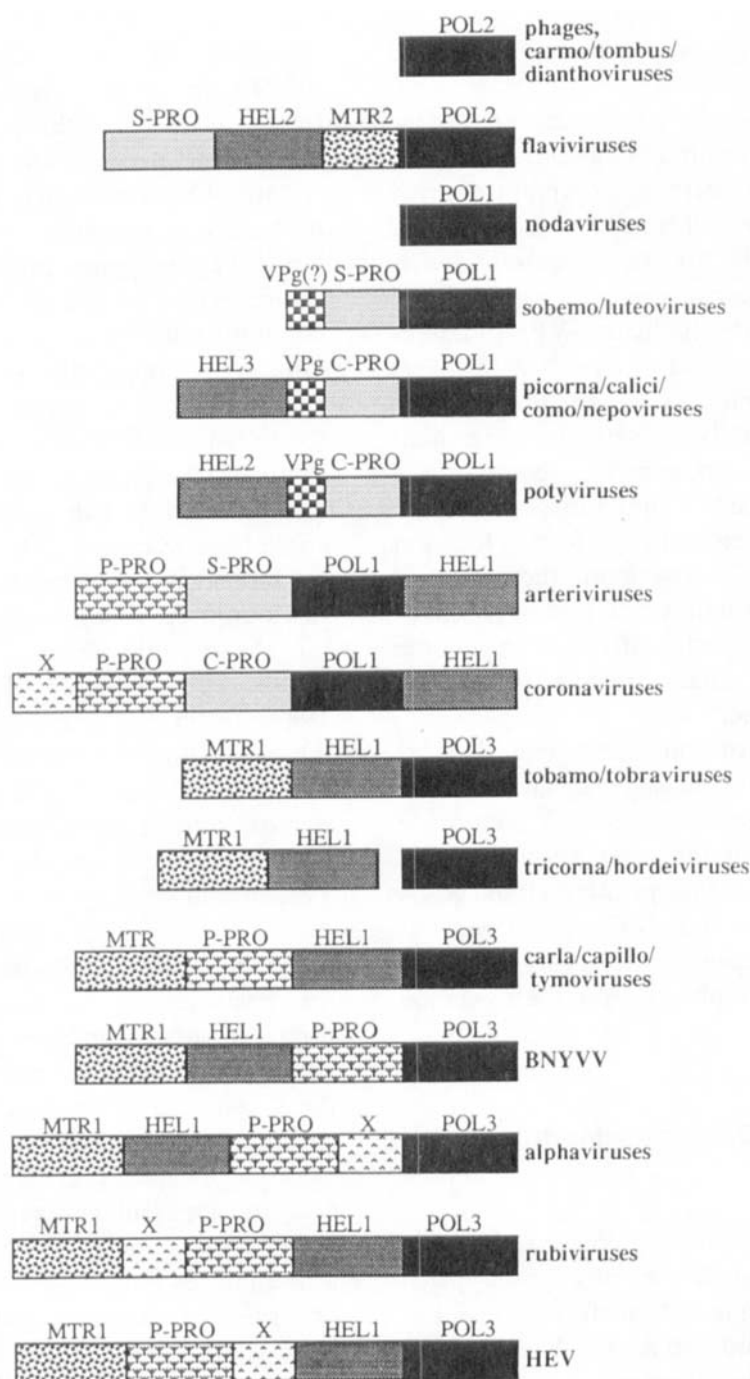
**FIGURE 12.** Phylogenetic analysis of the capsid proteins of positive-strand RNA viruses with elongated particles. (a) rod-shaped viruses; for additional details see caption to Figure 11. (b) filamentous viruses; p26 of CTV is the apparent homologue of the BYV p24. The root position was inferred from the cluster dendrogram.



**FIGURE 12B**

of HyAV. In addition, tricornaviruses and hordeiviruses “ridicule” the rule by assigning the polymerase and the helicase genes to separate genome segments (Figure 13).

A further variation of the RdRp-helicase theme is the presence of two genes for putative helicases flanking the RdRp gene from both sides in potexviruses and carlaviruses, and isolated on a



**FIGURE 13.** Conservation and variability in the arrangement of the "core" genes of positive-strand RNA virus genes encoding proteins involved in genome replication and expression. This and the subsequent schemes are not to scale. Only the principal proteins (domains) involved in replication and expression are shown. Omission of less well-conserved domains in this and the subsequent schemes is not specified in each case. The genes coding for the conserved proteins are highlighted identically in all schemes. POL1,2,3 — RNA-dependent RNA polymerase of the respective supergroup; HEL1,2,3 — (putative) RNA helicase of the respective superfamily; S-PRO — serine chymotrypsin-like protease; C-PRO — cysteine chymotrypsin-like protease; P-PRO — papain-like cysteine protease; MTR1,2 — methyltransferases of type 1 and 2, respectively. The "shell" genes coding for virion and "accessory" proteins are not shown.

separate RNA segment in hordeiviruses and BNYVV.

Other gene clusters are restricted to one of the RdRp-based divisions. These include the methyltransferase-helicase-polymerase array found in all viruses with supergroup 3 RdRps (Rozanov et al., 1992b), the protease-helicase-polymerase array conserved in flaviviruses and pestiviruses, and the (helicase)-VPg-protease-polymerase array typical of viruses with supergroup 1 RdRps (Figure 13). Importantly, the latter array is partially conserved also in small viruses of this division, namely, sobemoviruses and luteoviruses that lack the helicase gene (with some uncertainty remaining as for the location of the VPg gene). This supports the notion of evolutionary compactness of this division of viruses despite the drastic difference in genome size and the concomitant presence or absence of the helicase gene.

The existence of conserved gene clusters, and particularly the quasi-invariant helicase-polymerase arrangement, raise the possibility that not only a common virus ancestor could give rise to all the extant positive-strand RNA viruses and at least some dsRNA viruses but also that certain features of this hypothetical ancestor may be deciphered from their genome organization.

## B. Evidence of Gene and Module Shuffling

As described in the previous section, the genes for RdRp, the helicase, the chymotrypsin-like protease, the methyltransferase, and the VPg tend to form ordered arrays. Nonetheless, even the relative arrangement of these genes appears to reflect major recombination events. Two apparent events of this type involve viruses with supergroup 1 polymerases. First, the poty-like viruses encode a superfamily 2 helicase related to the helicases of flaviviruses and pestiviruses instead of superfamily III helicase that is typically associated with supergroup 1 RdRp. Second, arteriviruses and their relatives encode a helicase belonging to superfamily 1 instead of a superfamily 3 helicase. In

the arteri-like viruses and also in the HyAV dsRNA virus, these changes are accompanied by the reversal of the positions of the polymerase and the helicase genes in the genome (Figure 13). Two other, not described previously, acts of recombination within the array of housekeeping genes might have occurred in viruses with supergroup 3 RdRp. First of these, combining the gene array typical of rubi-like viruses (RdRp, papain-like protease, X domain) with the methyltransferase and the helicase from the tobamo-like lineage, may account for the origin of the alphaviruses. The second event, also between the RdRp and the helicase genes, could have occurred in the tymovirus lineage.

Other genes of positive-strand RNA viruses enjoy a much greater freedom, supporting the module concept of virus evolution (Gibbs, 1987; Zimmern, 1988; Morozov et al., 1989; Dolja and Carrington, 1992). Among the genes encoding proteins involved in expression, the gene for the papain-like protease is most subject to "wandering" along the genome (Gorbalenya et al., 1991; Koonin et al., 1992; Figure 13).

As for the genes encoding virion components, variation of both the type of capsid and the capsid gene location appears to be a general principle rather than exception. The typical position of these genes is either at the 5' end or at the 3' end of the genome. Comparison of picornaviruses and caliciviruses provides a clear-cut example of capsid protein gene relocation without any apparent concomitant change in the nonstructural gene complex. Isolation of capsid protein genes on a separate genome segment is observed in different groups, for example, comoviruses and nepoviruses when compared with picornaviruses, RTSV and PYFV; and tricornaviruses, RBDV, and tobraviruses when compared with the tobamoviruses. Change of the capsid type is obvious, for example, after comparison of icosahedral tymoviruses with related viruses having elongated capsids, for example, potexviruses (Rozanov et al., 1990), or of the icosahedral arteriviruses with helical corona- and toroviruses (Godeny et al., 1993). Related viruses may also differ by the presence or absence of genes for envelope proteins as

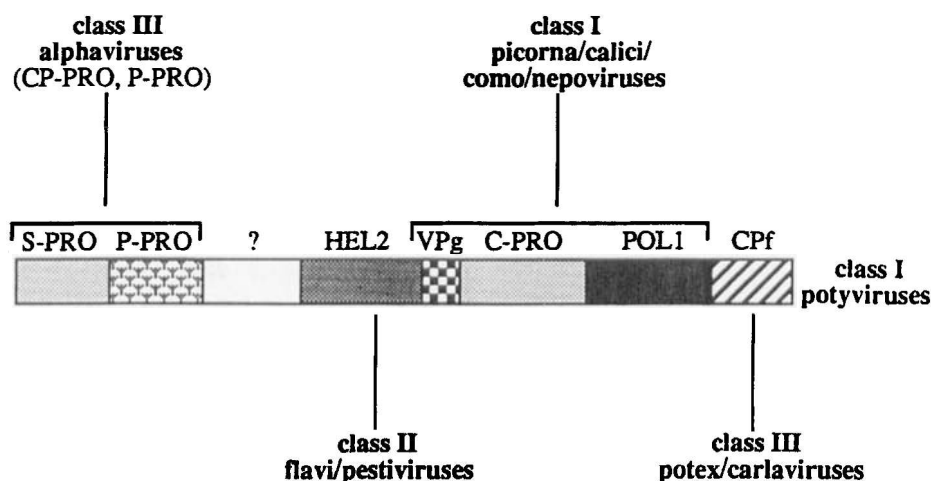
exemplified by the comparison of RuBV and HEV (Koonin et al., 1992).

The position of at least some of the genes in the genome of positive-strand RNA viruses appears to be under selective pressure to ensure the optimal level of expression. Thus the RdRp is usually located downstream of the other proteins involved in replication so that its expression could be regulated, for example, by an upstream leaky termination codon as in tobamoviruses, tobnaviruses, and some of the alphaviruses (Dolja and Carrington, 1992). Conversely, the coat protein coding region usually is the 5'-terminal one in an mRNA (which obviously does not necessarily correspond to the 5'-terminal position in the genome) so as to ensure a high level of expression. A notable exception is the poty-like viruses whose capsid protein occupies the 3'-terminal position in the monocistronic genome RNA and appears not to be subject to expression regulation (Dougherty and Carrington, 1988).

The extent of the apparent gene exchange between distantly related groups of positive-strand RNA appears to have been so high that genomes of certain viruses look really patchy, with different gene products having homologs in different other viruses, and sometimes also among cellular genes. This point is illustrated in Figure 14 by using the potyvirus genome as an example.

### C. Direct Evidence of Gene Exchange between Positive-Strand RNA Viruses

The above discussion centered at apparent macroevolutionary events when gene exchange between remote viruses seemed to have led to a modification in the genome layout of large virus groups. Regretfully but typically of major evolutionary breakthroughs, such events are hard to register directly. But at a smaller evolutionary scale direct evidence for recombination between different positive-strand RNA viruses has been obtained (Lai, 1992). Examples are, in the order of decreasing evolutionary distance between the recombining partners, BYDV (strain PAV) whose RdRp originates from a carmo-related virus, while the "shell" proteins are clearly related to those of luteoviruses (Martin et al., 1990); sunn hemp mosaic virus, an apparent hybrid between TMV and TYMV (Meshi et al., 1981); and Western equine encephalitis virus, which appears to be an evolutionarily recent hybrid between EEEV and another alphavirus closely related to Sindbis virus (Hahn et al., 1988). In addition, recombinant viruses have been obtained in experimental systems. Intertypic recombinants of poliovirus have been isolated under controlled conditions in tissue culture (King et al., 1982; Tolskaya et al., 1983). Parallel studies in a plant system have



**FIGURE 14.** Chimeric organization of a positive-strand RNA virus genome. A rough scheme of the potyvirus polyprotein is shown. For each protein (domain) groups of viruses encoding related proteins are indicated. CP-f — filamentous capsid protein; the other abbreviations are as in Figure 13.

demonstrated recombination between different components of the BMV genome (Bujarski and Kaesberg, 1986; Rao et al., 1990). Recently, a chimeric plant virus has been designed from BMV by replacing its movement protein gene by the unrelated gene for the movement protein of TMV (De Jong and Ahlquist, 1992).

A very interesting example of a different type of gene exchange possibly relevant for the origin of divided genomes is provided by PEMV. The genome of this virus consists of two components, one of which encodes a luteovirus-like and the other a carmovirus-like replicative gene complex. On the other hand, the capsid protein and the movement protein are provided in-trans by RNA 1 and RNA 2, respectively (Demler and de Zoeten, 1991; Demler et al., 1993; Mushegian and Koonin, 1993).

#### **D. Gene Duplications**

Gene duplication followed by mutational diversification of the two gene copies is the major general evolutionary mechanism (Ohno, 1970; Kimura, 1983). Several examples of gene duplication (or multiplication) are found in positive-strand RNA viruses. These include the apparent duplication of the helicase gene in several groups of plant viruses (Gorbalenya et al., 1988b), chymotrypsin-related protease gene duplication in entero- and rhinoviruses (Blinov et al., 1985; Gorbalenya et al., 1986; Bazan and Fletterick, 1988, 1990), the duplication of the capsid protein gene in closteroviruses (Boyko et al., 1992), and triplication of the VPg gene in FMDV picornavirus (Forss et al., 1984). An exact tandem duplication of the N-terminal portion of the BSMV RdRp has been described (Afanasyev et al., 1986; Gustafson et al., 1987). It is also widely believed that a triplication has taken place in the evolution of picornavirus and comovirus capsid protein genes, although in this case it was evident only at the level of protein tertiary structure (Palmenberg, 1989; Rossmann and Johnson, 1989). In all these examples it could not be ruled out that the duplication might be "fictitious", the presence of two or more gene copies being actually due to recombination between related viruses.

#### **E. Relationships between Positive-Strand RNA Viral and Cellular Proteins.**

Many positive-strand RNA viral proteins show varying degree of sequence similarity to cellular proteins (Gorbalenya, 1992). These relationships are of two very different types. Proteins involved in fundamental steps of virus replication and expression and conserved in a wide range of virus groups, like RNA helicases and proteases, are more or less distantly related to cellular proteins with the analogous activity. In these cases the recruitment of cellular genes by the virus genome, if it ever occurred (see discussion below), could only be a very ancient evolutionary event. On the other hand, there are clear-cut examples of recent capture of cellular genes by positive-strand RNA virus genomes including various cellular insertions in pestiviruses (Meyers et al., 1991), and a gene coding for a heat shock protein homolog in closteroviruses (Agranovsky et al., 1991a). As with the examples of intervirus recombination, the disparity is obvious between putative macroevolutionary events that are of very general significance but are difficult to demonstrate directly, and self-evident microevolutionary events of limited impact.

#### **VIII. AN OUTLINE OF PHYLOGENETIC TAXONOMY OF POSITIVE-STRAND RNA VIRUSES.**

We feel that the results of phylogenetic analysis and the genome organization comparison are consistent enough to allow the delineation of distinct large taxa of positive-strand RNA viruses. Consider first the viruses with RdRps of supergroups 1 and 3. Clearly, the existence of invariants in the arrangement of genes encoding the main enzymes of replication and expression supports the notion of viruses with these types of RdRp as evolutionarily compact major divisions. The situation with supergroup 2 is less obvious as it represents the ultimate case of divergence, with the RdRp being the only common denominator of the constituent viruses.

The reality of smaller but still very diverse, from the point of view of standard virus taxonomy, groups within these vast divisions is even more obvious. They are supported both by phylogenetic trees for different proteins and by genome context analysis. With the wide spread of recombination, classification of viruses necessarily is to some extent a matter of convention. Nevertheless, the view of a virus as a relatively stable, slowly evolving “core” of the replicative genes accompanied by a much more flexible “shell” of the genes coding for virion components and “accessory” proteins appears to be a strongly preferable, and in a sense the “correct” one. This concept implies that the derived phylogeny of the “core” gene complex should constitute the basis of phylogenetic taxonomy of positive-strand RNA viruses, at least when higher taxa are considered.

Based on these notions and on the examination of individual phylogenetic trees, we outline here a proposal for a new, phylogenetic taxonomy of positive-strand RNA viruses (Table 2). Its purpose is to tentatively define higher-than-family rank taxa, in which viruses are brought together by evolutionary compactness (monophyly) of their replicative gene “core”. All of the proposed orders and families are supported by congruent phylogenetic trees for at least two, and more commonly three or four conserved proteins. Generally, an order includes viruses with a common theme in the arrangement of the “core” genes but significant variability of the “shell” organization. A family usually consists of viruses with a common plan of genome organization, including both the “core” and the “shell”. In some cases, however, we felt that the apparent evolutionary compactness justified placing viruses with considerable differences in the gene organization in one taxon. Thus, a drastic deviation from the general principle of formation of orders is observed in the proposed order POTYVIRALES, with the double-stranded HyAV grossly differing from potyviruses and bymoviruses in the composition and order of the “core” genes. Less dramatically, in the order TYMOVIRALES, despite the general conservation of the order of “core” genes, some of the viruses have apparently lost the papain-like protease, together with the strategy of expression by

polyprotein processing. At the lower family level examples of diversity are provided by the families Comoviridae, Tricornaviridae, and Tobamoviridae, in all of which viruses belonging to different genera have different number of genome segments (see also the next section).

Inevitably, at some points during the development of this tentative virus taxonomy difficult and ambiguous decisions had to be made. An example of a complicated situation is the family Alphaviridae whose helicase and methyltransferase grouped with those of the *TOBAMOVIRALES*, while the genome organization, and to some extent the polymerase phylogeny, rather supported association with *RUBIVIRALES* (see above). We placed Alphaviridae in the latter order (Table 2), with the understanding that the upstream portion of the replication gene complex could be derived via recombination with a tobamo-like virus.

Mostly, the proposed taxonomic innovations are amendments to the existing system, sorting out already established families and groups. However, a few instances of regrouping are obvious including the relocation of arteriviruses from Togaviridae to the proposed order *ARTERIVIRALES* (den Boon et al., 1991), transfer of BNYVV from the Furoviruses to the order *RUBIVIRALES*, and relocation of ACLV from the Closteroviruses to the tentative order *TYMOVIRALES* (perhaps its inclusion in Capilloviruses).

A major challenge to the taxonomists is the proposed inclusion of dsRNA viruses or virus-like elements in some of the subdivisions of positive-strand RNA viruses. We believe that as long as this seems to reflect the actual course of evolution, this classification is to be absorbed, however counterintuitive.

Clearly, it cannot be expected that the entire proposed system or even its main features will be officially accepted in the near future. The standard latinized nomenclature is used here solely for convenience and is not intended to create any impression of officialdom. Our hope is, however, that these proposals will be useful in at least bringing the concept of phylogenetic taxonomy of viruses to practical consideration.

**TABLE 2**  
**Draft of Phylogenetic Taxonomy of Positive-Strand RNA Viruses**

Class <sup>a</sup>	Order	Family	Genera/Groups	Host
I. Picornavirata	Picornavirales	Picornaviridae	Enterovirus	Vertebrates
			Rhinovirus	
			Cardiovirus	
			Aphthovirus	
			Hepatovirus	
			Echovirus (ECHO22)	
	Potyvirales	Comoviridae	Comovirus	Plants
			Nepovirus	
			PYFV group	
		Caliciviridae	Calicivirus	Vertebrates
			Potyvirus	
			Bymovirus	
	Sobemovirales	Hypoviridae	HyAV group	Fungi
		Sobemoviridae	Sobemovirus	Plants
		Luteoviridae	Luteovirus	Plants
		Nodaviridae(?)	Nodavirus	Insects
	Spheridiplornavirales	Spheridiplornaviridae	ScV group	Fungi
	Arterivirales	Arteriviridae	LRV group	Protozoa
			Arterivirus	Vertebrates
II. Flavivirata	Flavivirales	Flaviviridae	Coronavirus	Vertebrates
			Torovirus	
	Pestivirales	Pestiviridae	Flavivirus	Vertebrates
			Pestivirus	
			MCV group	
			Alloluteovirus (BYDV-PAV)	
	Carmovirales	Dianthoviridae	Dianthovirus	Plants
			Carmovirus	
	Levivirales(?)	Carmoviridae	Tombusvirus	Plants
			Necrovirus	
			Levivirus	
			Allolevivirus	
III. Rubivirata	Rubivirales	Rubiviridae	Rubivirus	Vertebrates
		Hepeviridae	HEV group	Vertebrates
		Beneviridae	BNYVV group	Plants
		Alphaviridae	Alphavirus	Vertebrates
				Insects
	Tobamovirales	Tobamoviridae	Tobamovirus	Plants
			Tobravirus	
			Hordeivirus	
			Furovirus (SBWMV)	
			Cucumovirus	
	Tymovirales	Tricornaviridae	Bromovirus	Plants
			AIMV group	
			Idaeovirus (RBDV)	
		Closteroviridae	Closterovirus (BYV)	Plants
		Tymoviridae	Tymovirus	Plants
		Carlaviridae	Carlavirus	Plants
			Capillovirus (ASGV, ACLV)	
		Potexviridae	Potexvirus	Plants

<sup>a</sup> The standard Latin forms for the (proposed) names of classes, orders, and families were used. Two of the proposed new family names were constructed using the standard method of adopting the prototype virus name: Hepeviridae after HEPatitis E virus, Beneviridae after BEet NEcrotic yellow vein virus, Hypoviridae after HYPOvirulence-associated virus. Other family names had to be proposed ad hoc: Spheridiplornaviridae (dsRNA-containing viruses with spherical virions) and Alloluteoviridae (for BaYMV-PAV, which previously has been included in luteoviruses but has the RdRp related to that of carmoviruses). The proposed genus name *Idaeovirus* is from Ziegler et al., 1992.

## IX. TOWARD RECONSTRUCTION OF VIRUS EVOLUTION

The results of phylogenetic analysis of individual viral proteins combined with the observations on conservation and variability in genome organization allow an attempt of reconstruction of the evolutionary history of positive-strand RNA viruses. The phylogenetic trees provide the "arrow of time" necessary to introduce the direction of evolution. There is no way to produce a single unequivocal scenario as it is typical of any evolutionary reconstruction. What can be constructed is the apparently most parsimonious and hence the most likely evolutionary scenario. Qualitatively, this approach is analogous to the maximum parsimony approach in phylogenetic analysis.

As discussed above, the delineation of the main virus divisions is generally supported by phylogenetic trees for three different conserved proteins and by the conservation of the arrangement of the respective genes in the genome. Some departures notwithstanding, the array of these "core" genes in each division appears to comprise a single evolving unit. Considering the tree-like evolution of this unit to be the "backbone" of the evolutionary scenario, the putative events leading to changes in genome organization, expression, and replication can be described in concise terms.

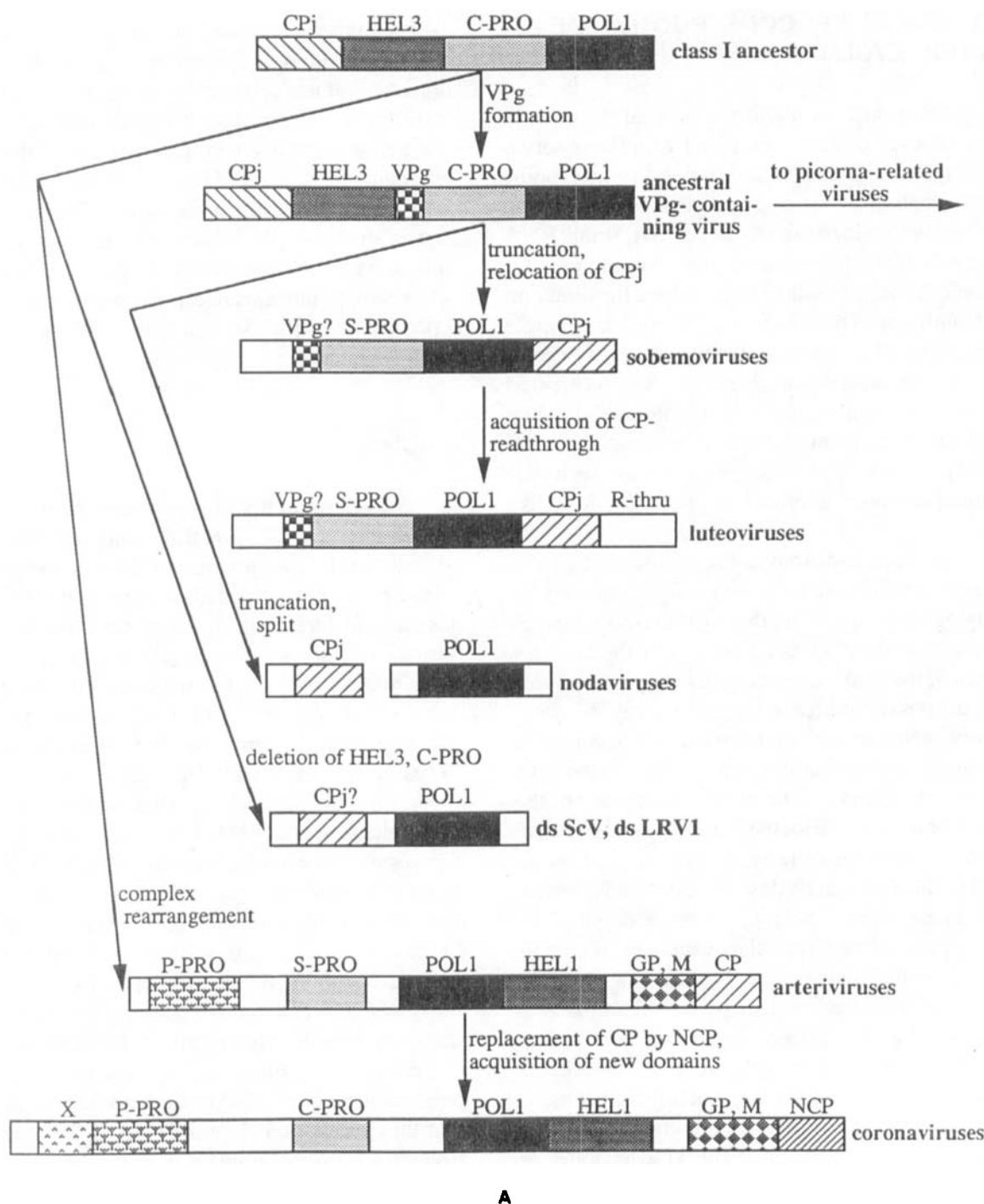
These events include: (1) gene duplication; (2) capture of new (cellular) genes; (3) recombination with distantly related viruses; (4) deletion of "core" (e.g., helicase or papain-like protease) or "shell" genes; (5) gene rearrangement within the replicative gene complex (e.g., "wanderings" of the papain-like protease domain and the associated "X" domain); (6) formation of segmented genomes by either split of the viral genome or capture of a heterologous RNA segment; (7) relocation of the genes encoding virion components and other "shell" genes; (8) insertion/deletion of "accessory" domains without apparent change of the nonstructural gene arrangement; (9) emergence of mechanisms for subgenomic mRNA synthesis.

We believe that all positive-strand RNA viruses and the closely related dsRNA viruses should have evolved from a common ancestral virus.

This conviction is based primarily on the universal conservation of the RdRp sequence. By looking into features of genome organization common to different virus groups, it is possible to envisage the genome arrangement and expression strategy of putative ancestors of large divisions of viruses, and ultimately the original ancestor. Consider first the easier task of deriving the features of the ancestors for the three virus classes. Naturally, all of these hypothetical ancestor viruses should have encoded an RdRp. Beyond that point, we discuss them separately.

### A. Class I

All viruses of this class, with the exception of the proposed *POTYVIRALES* and the *Coronaviridae* family, have icosahedral capsids composed of the jelly roll type proteins. Clearly, this should also be a feature of the ancestor virus. Further, all viruses of this class, with only the exception of nodaviruses, express the majority of their proteins via polyprotein processing mediated by a chymotrypsin-like protease. We surmise that the ancestor virus also encoded such an enzyme. A more difficult question is whether the ancestor virus encoded the RNA helicase. Although the viruses of the proposed order *SOBEMOVIRALES* have small genomes and lack the helicase gene, the majority of the viruses of this class encode a helicase and we consider the presence of this gene in their common ancestor the more likely possibility (see also discussion below). It was of interest to investigate whether the N-terminal region of the replicase proteins of the viruses lacking the helicase gene contain sequence motifs indicative that they might comprise an unusual helicase or isolated C-terminal domain of one of the groups of known helicases. The latter possibility could be especially plausible as an apparent stand-alone C-terminal helicase domain has been described recently in a human transcription factor (Koonin, 1992b). However, detailed searches failed to reveal any "helicase-like" features in the N-terminal domains of the replicative proteins of *SOBEMOVIRALES*, *NODAVIRALES*, and the astrovirus (E. V. Koonin, unpublished observations).



**FIGURE 15.** Tentative evolutionary scenario for class I of positive-strand RNA viruses. (a) The proposed orders Sobemovirales and Arterivirales; (b) the proposed orders Picornavirales and Potyvirales; This and subsequent schemes are deliberately simplified in that only the main virus genes and the principal postulated evolutionary events are shown. The arrows connecting virus groups are to be interpreted in the sense that, for example, RTSV might have evolved from an ancestral form with genome organization resembling that of picornaviruses, not from extant picornaviruses. The possible events leading to the origin of HyAV and arteri-like viruses are not shown in detail. A scenario for the evolution of HyAV from a poty-like virus has been published previously (Koonin et al., 1991b). The possible pathways of evolution for Arterivirales will be presented elsewhere. CPj — icosahedral capsid protein with jelly roll conformation; CPf — filamentous capsid protein; CPr — rod-shaped capsid protein; R-thru — domain expressed by translation readthrough; X — conserved domain of unknown function accompanying the papain-like proteases of animal viruses (Gorbalenya et al., 1991; Koonin et al., 1992); NCP — nucleocapsid protein; GP — envelope glycoprotein(s); M — membrane protein; MP — plant virus cell-to-cell movement protein. The other designations are as in Figure 13. For further details see text and caption to Figure 13.

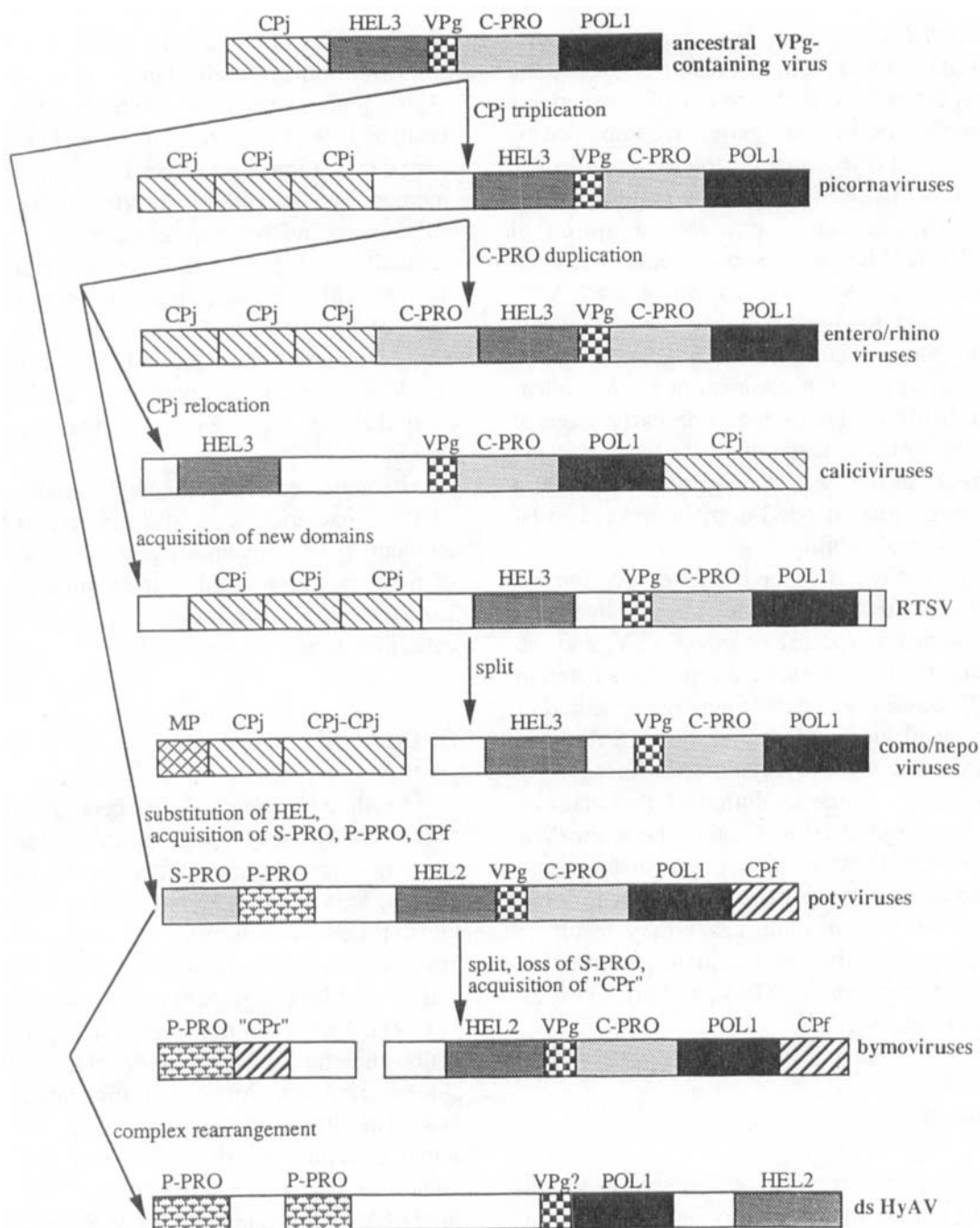


FIGURE 15B

Using the same logic to derive the probable ancestral features, we gather that the ancestral virus should have had a nondivided genome and used polyprotein processing by a virus-encoded protease as the sole method of generation of its mature proteins. With this hypothesis on the genome organization of the probable ancestor, re-

construction of the simplest (most parsimonious) evolutionary history of this virus class is straightforward enough (Figure 15). The major evolutionary events, correlated with the emergence of the virus divisions within this class, include substitution of the helicase gene in the lineages leading to the ancestors of the *POTYVIRALES* and

*ARTERIVIRALES* orders, apparently via recombinational transfer from viruses of classes II and III, respectively; and truncation of the genome (deletion of the helicase gene), accompanied by relocation of the capsid protein gene in the *SOBEMOVIRALES* lineage. The majority of the viruses of this class, with the exception of *ARTERIVIRALES* and *Nodaviridae*, have a small protein (VPg) covalently linked to the RNA 5'-terminus and thought to prime replication and transcription (Vartapetian and Bogdanov, 1987). The protein-primed mechanism of RNA replication probably evolved once at an early stage of evolution, concomitantly with the origin of the VPg itself. In the case of VPg, recruitment of a preexisting virus-encoded domain appears to be an attractive possibility.

The additional mechanism of expression via subgenomic mRNA might have been "invented" independently in the calicivirus, RTSV, and the sobemovirus lineages (see the references listed in Table 1). Similarly, bipartite genome appeared to have evolved independently in the RTSV/como/nepovirus and the poty/bymovirus lineages. A typical feature of the evolution of this class of viruses are large insertions within the replicative gene complex. These apparent evolutionary events cause no change of the main features of genome organization, but in some cases may result in more than a twofold difference in the genome size (e.g., picomaviruses vs. RTSV, and arteriviruses vs. coronaviruses).

## B. Class II

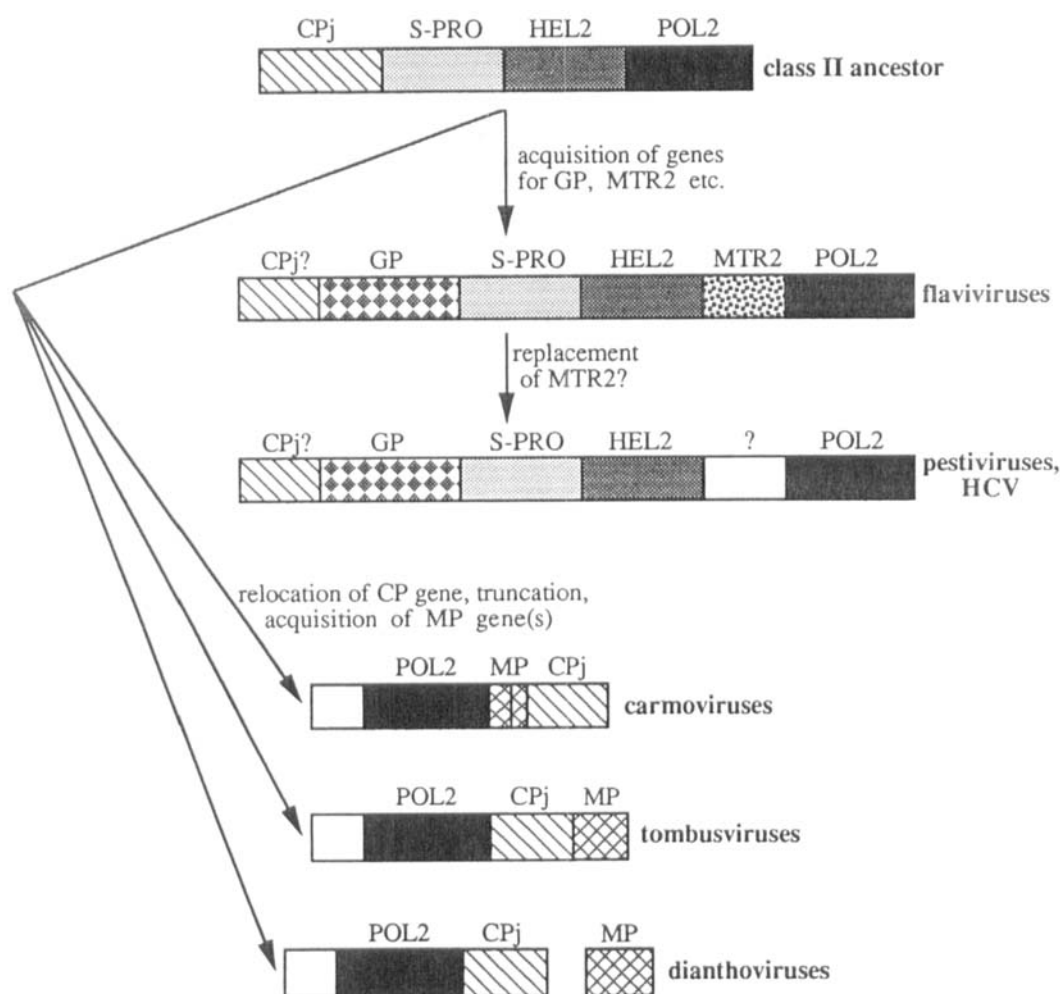
Like class I, this class combines viruses with relatively large genomes having genes for a helicase and a chymotrypsin-like protease with small viruses lacking those genes. The RdRp phylogenetic tree (Figure 2C) reveals an association between one of the groups of small viruses (carmovirus-related plant viruses), and pestiviruses that have much larger genomes comprising genes for a helicase and a protease arranged essentially as in flaviviruses (Figure 16). This suggests that at least the common ancestor of the eukaryotic viruses of this class also had these genes and might have been essentially very similar to the class I ancestor (compare Figures 16 and 15). A

similar series of events may have accounted for the evolution of small plant viruses within this class: genome truncation accompanied, in this case, by loss of both the helicase and the protease genes; relocation of the capsid protein gene supplemented by "invention" of the mechanism for subgenomic mRNA formation; and in one case, acquisition of a second RNA segment (Figure 16). As with the small viruses of class I, no vestiges of the helicase and/or the protease domain could be identified in the N-terminal regions of the RdRp-containing proteins of carmoviruses-related viruses (E. V. Koonin, unpublished observations).

As indicated above, the phylogenetic position of RNA bacteriophages and the related dsRNA elements is very uncertain, and so is the pathway of their evolution. With this in mind, evolution from the same common ancestor by genome truncation could not be ruled out.

## C. Class III

As already discussed, the genome organization of the viruses belonging to this class is in a sense more homogeneous than in the other two classes. This allows a more confident reconstruction of the common ancestor that apparently should have encoded RNA helicase, methyltransferase, and most likely a papain-like protease (Figure 17). The nature of the ancestral capsid is the major uncertainty for this virus class, which includes numerous viruses with elongated capsids as well as viruses with icosahedral capsids whose actual structure has not been resolved. At this point, we will make a speculation that is not suggested by but is compatible with the observed variation of the capsid structure. We propose that the capsid autoprotease of alphaviruses may be the ancestral capsid protein at least for this class of viruses, and as discussed in the next section, perhaps for positive-strand RNA viruses in general. Interestingly, all known viruses of this class exploit subgenomic mRNAs in their expression, suggesting that this mechanism has evolved in the ancestor virus. The evolution of this virus class appeared to proceed via reorganizations mostly involving the papain-like protease domain, including its independent deletion in the



**FIGURE 16.** Tentative evolutionary scenario for class II of positive-strand RNA viruses. See the captions to Figures 13 and 15 for the designations.

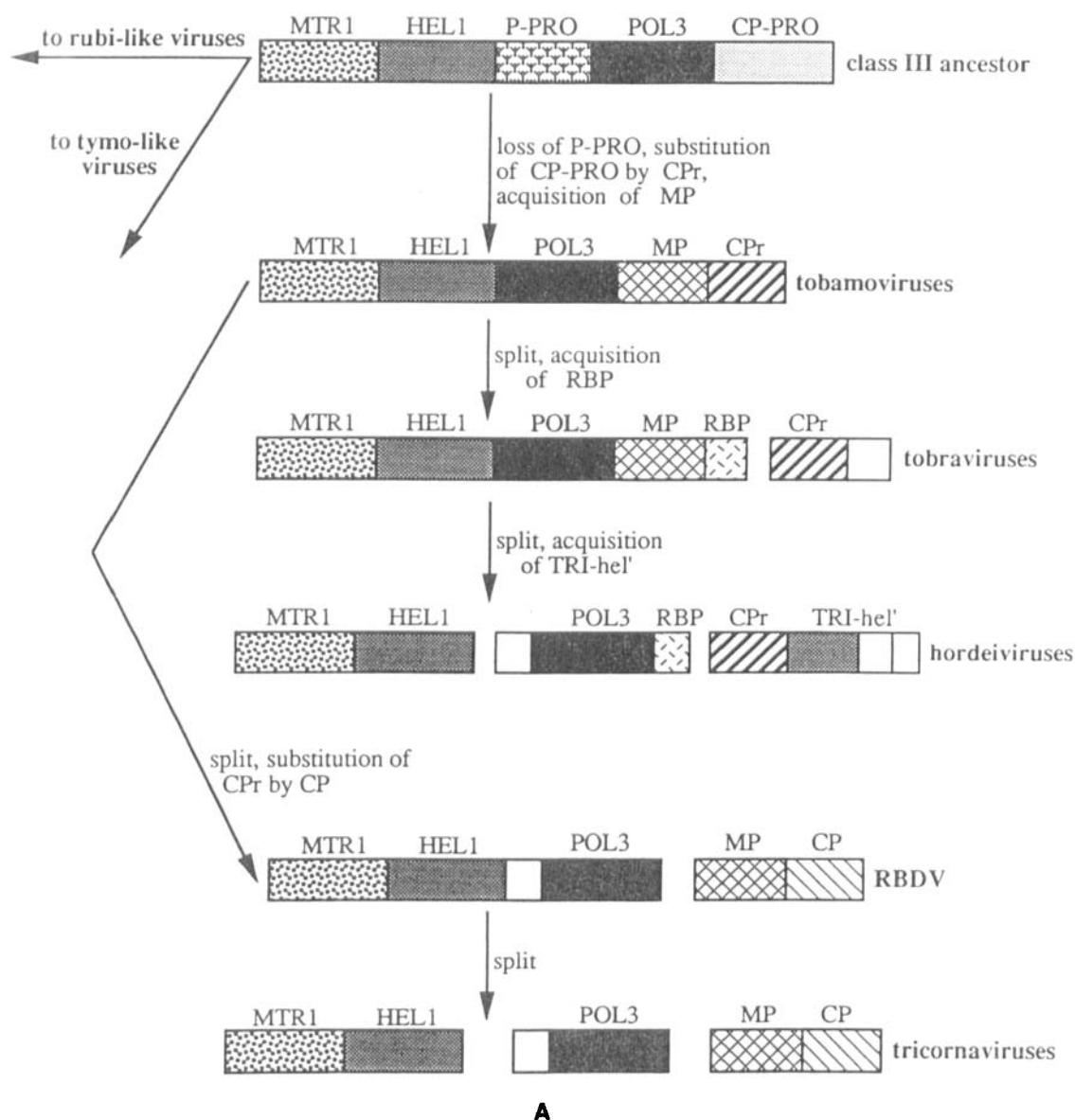
*TOBAMOVIRALES* and *TYMOVIRALES* lineages, emergence of multipartite genomes, and the postulated recombination downstream from the helicase gene leading to the origin of the alphaviruses and the tymoviruses (Figure 17).

An interesting illustration of the shuffling theory of virus evolution is provided by the “accessory” viral helicase, which together with the accompanying genes for two small proteins comprises the so-called triple gene block (Morozov et al., 1989). The triple block appears to be involved in virus cell-to-cell movement (Petty et al., 1990; Beck et al., 1991; Gilmer et al., 1992), demonstrating a striking example of change in the function of the putative helicase after the gene duplication. This block is found, in different genomic context, in all three proposed orders of the class

III (Figure 17). We suggest that the triple block has evolved in the common ancestor of the *TYMOVIRALES* after the duplication of the helicase gene, and has been captured subsequently by BSMV, BNYVV, and NVMV in the form of a separate RNA segment.

#### D. The Ultimate Common Ancestor

Clearly, this ultimate “first positive-strand RNA virus” encoded an RdRp that subsequently has given rise to the three main lineages of evolution of this gene. It is also logical to propose that this virus had an icosahedral capsid and expressed its genetic information via polyprotein processing. Then, the simplest way to achieve



**FIGURE 17.** Tentative evolutionary scenario for class III of positive-strand RNA viruses. (a) The proposed order Tobamovirales; (b) the proposed order Rubivirales; (c) the proposed order Tymovirales. CP-PRO — capsid autoprotease; TRI-hel — triple gene block including the “accessory” helicase; RBP — (putative) RNA-binding protein. See also captions to Figures 13 and 15.

this is to combine the protease activity and the capsid protein function as it happens in the extant alphaviruses. It seems likely that if the ancestor virus had two or three genes (see below), the polyprotein cleavage by this primitive protease could occur exclusively *in cis*, which is compatible with the properties of the alphavirus capsid protein. Moreover, it has been shown that the cleavage at the C-terminus of the alphavirus capsid

protein is very tolerant to experimentally introduced amino acid substitutions, even affecting the catalytic residues (Hahn and Strauss, 1990). Such plasticity appears to be appropriate for an ancestral protease. We suspect, however, that the primitive protease might contain a catalytic cysteine in place of the catalytic serine found in the extant alphavirus capsid proteases. It has been argued that cysteine catalysis might have pre-

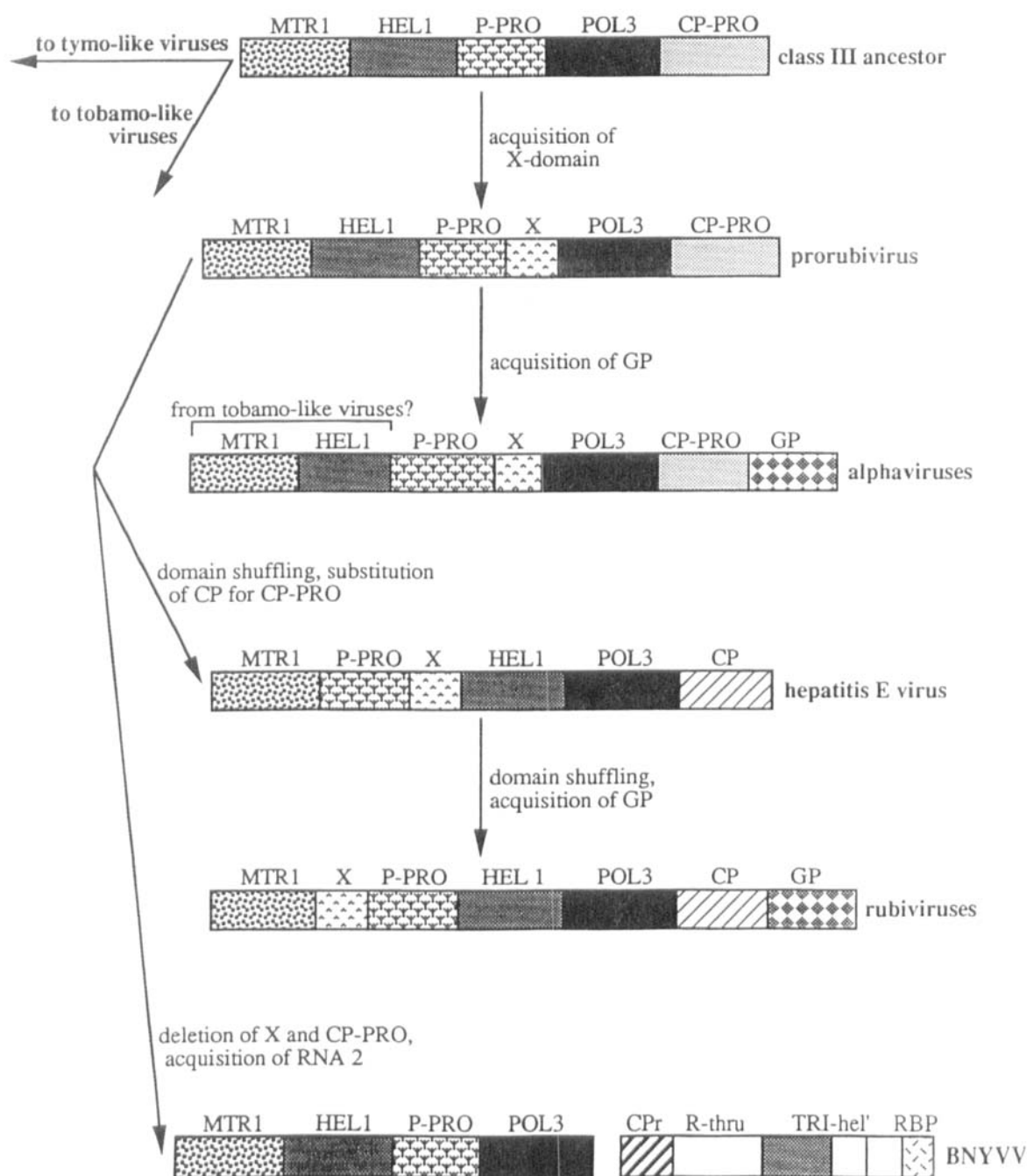


FIGURE 17B

ceded serine catalysis in enzyme evolution (Gorbalenya et al., 1986; Brenner, 1988). Cysteine for serine substitutions in the catalytic site of the Sindbis virus capsid protease resulted in a protein with considerable proteolytic activity (Hahn and Strauss, 1990).

The fact that, of all the extant viruses, the capsid autoprotease is limited to the alphaviruses

may be considered an argument against the hypothesis that it is a relic of an ancient virus expression strategy. However, the specific similarity between the capsid protease and the non-structural proteases of flaviviruses and pestiviruses (see above) is compatible with wide dissemination of an ancient domain. On the other hand, it is conceivable that the majority of the

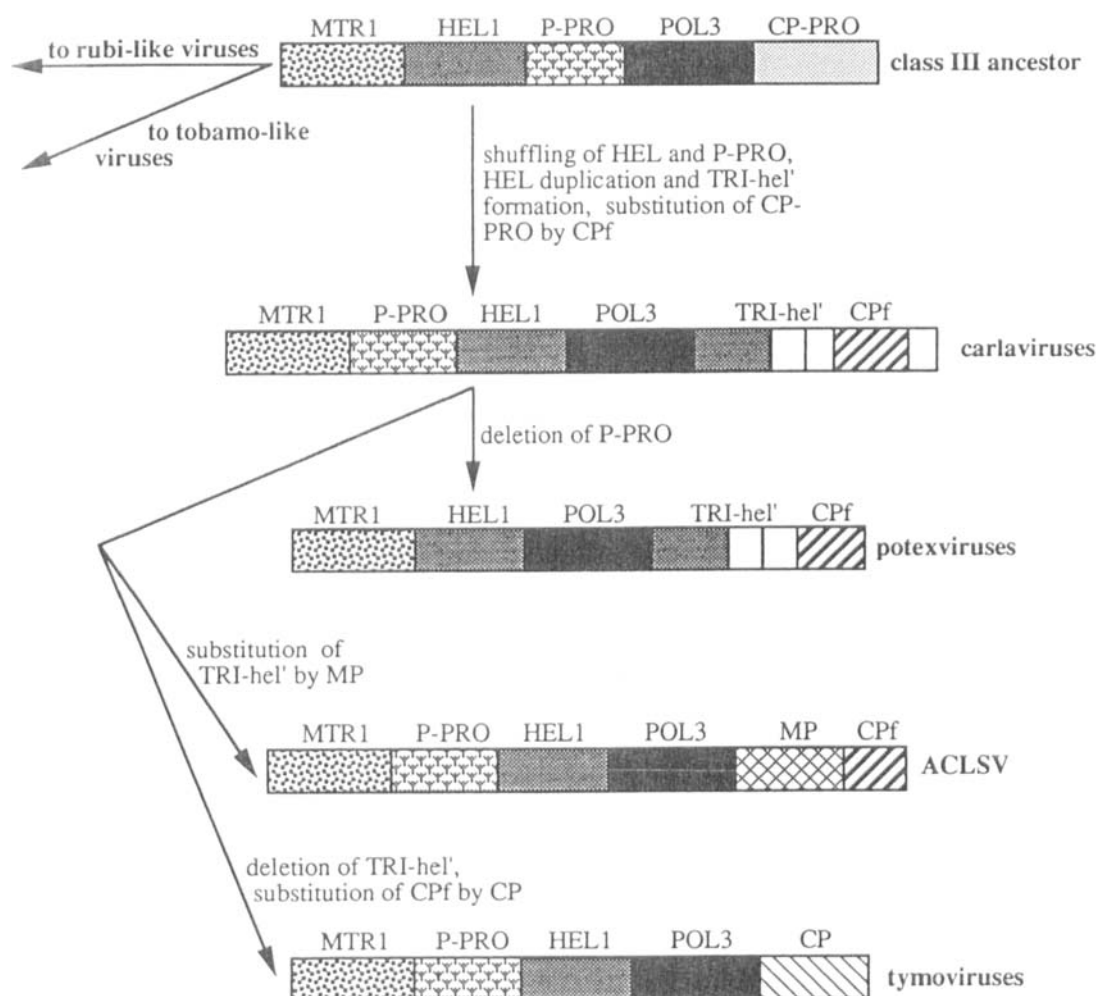
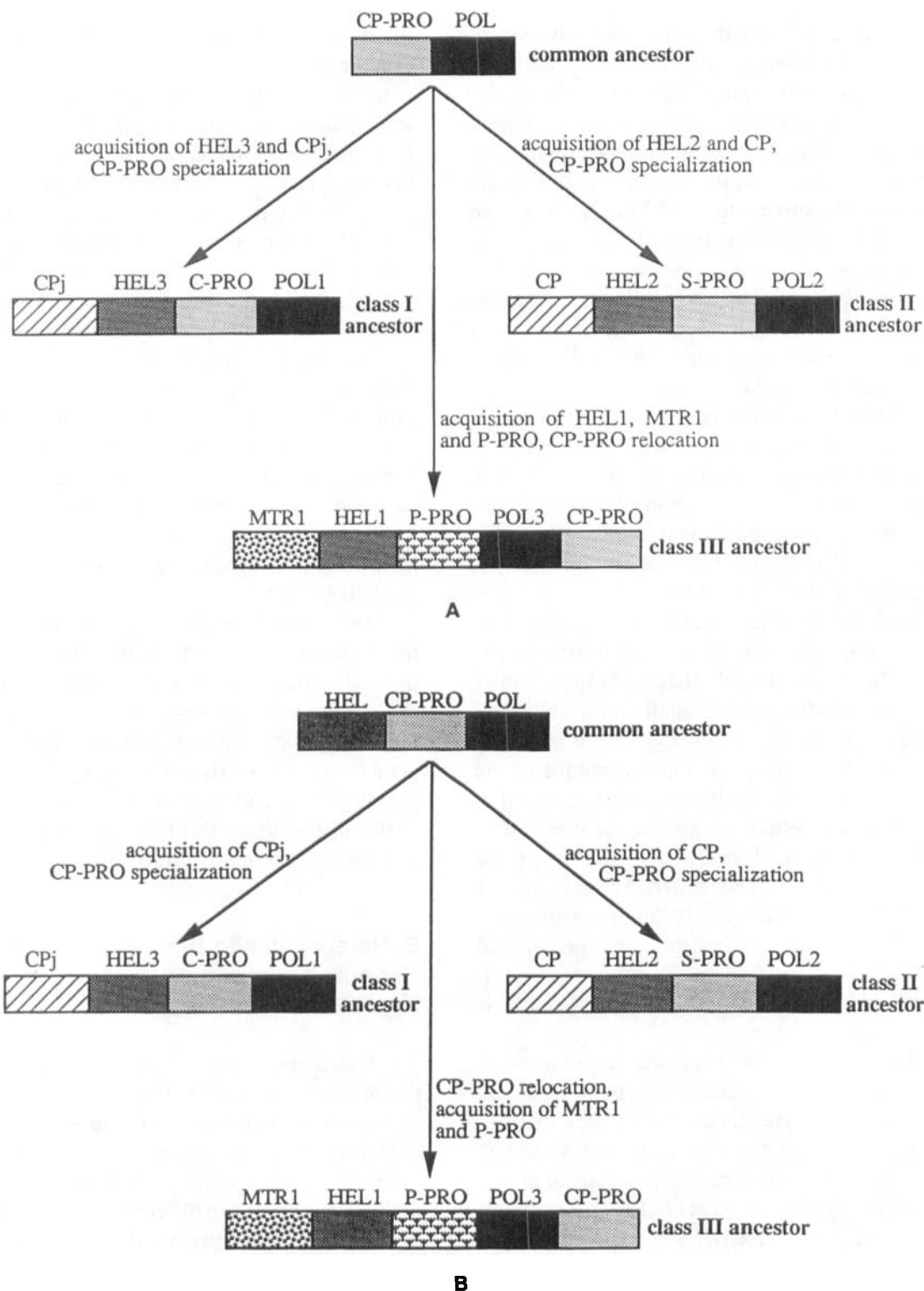


FIGURE 17C

viruses have abandoned the combination of the protease and the capsid functions in one protein for the virtue of more flexible expression strategies.

Perhaps the most dazzling question about the putative ancestor positive-strand RNA virus is whether it encoded an RNA helicase. As discussed above, the three classes of positive-strand RNA viruses encode helicases belonging to three different superfamilies. At least for the helicase superfamilies 1 and 2, multiple members have been identified in both eubacteria and eukaryotes, indicating that their common ancestor should have existed at a very early stage of evolution. As for the origin of RNA viral helicases, probably the most obvious idea is that the hypothetical ancestor of all positive-strand RNA viruses lacked a helicase gene and these

genes have been acquired independently at three different occasions on the evolutionary pathways leading to the three virus classes (Figure 18A). Although not contradicted directly by any available evidence, this scenario leaves us with difficult questions. Why have been the helicase genes captured by the ancestors of all three classes? The question is not trivial as despite the general correlation between the genome size and the presence of the helicase gene, luteoviruses lacking the helicase gene on the one hand and potexviruses and tobamoviruses encoding the helicase on the other hand all have genomes of very similar size (approximately 6 kb; Table 1). Furthermore, why is it that in all three classes the helicase gene is predominantly located upstream of the polymerase gene? The opposite examples, the arteri-related viruses and HyAV,



**FIGURE 18.** Two alternative scenarios for the evolution of the ancestors of the three virus classes from the hypothetical common ancestor virus. (a) "Gene capture" scenario. It is postulated that the ultimate ancestor contained two genes and the capsid autoprotease performed only one cleavage at its own C-terminus, like the contemporary alphavirus capsid protease. (b) "Primordial" scenario. The ultimate ancestor is postulated to contain three genes, with the primitive capsid autoprotease performing two cleavages at its N- and C-termini. For further details see text.

show that this is not the only configuration of these genes allowing virus RNA replication.

The alternative hypothesis is that the ancestral virus already had a helicase gene (Figure 18B). If so, what kind of helicase it might have encoded? One possibility is that it might belong to any of the three superfamilies and has been replaced by a different type of helicase during evolution of two of the three virus classes. We have already considered the possibility of similar recombinational replacements at later stages of evolution accounting for the evolution of the poty-related and arteri-related viruses.

Another possibility is that the divergence of the three helicase superfamilies has occurred in the course of evolution of positive-strand RNA viruses themselves. This hypothesis has profound implications. The separation of helicases into the three superfamilies is observed among cellular, DNA viral, and RNA viral enzymes. Clearly, it is a virtual impossibility that these superfamilies evolved convergently in different types of genomes. Neither is it likely that the helicase genes have been transferred horizontally from RNA viral to cellular genomes. We are forced to conclude that under this hypothesis the divergence of the helicase superfamilies should have occurred *before* viral and cellular genomes became distinguishable, that is, during the evolution of the primeval "RNA world" (Gilbert, 1986; Benner et al., 1987). If so, our hypothetical ancestor virus might have been closely related to the original RNA-based genetic systems, and the extant positive-strand RNA viruses may be considered their direct descent.

The difference between the hypotheses of multiple and single origins of the positive-strand RNA virus helicase genes is in effect that between positive selection and "frozen accident" explanations of evolution. The first type of explanation suggests that the observed genome organization, for example, the relative orientation of the RdRp and helicase genes, is intrinsically strongly advantageous to the virus and hence the chance for its independent emergence in different evolutionary lineages is high enough. The second interpretation concedes that this organization initially appeared purely accidentally in the ancestral genome, and later mechanisms have evolved to take

the full advantage of it, making drastic modifications relatively unlikely. Although most obvious when the evolution of the helicase gene is considered, this dilemma is relevant also for the evolution of other virus genes, most importantly that coding for the chymotrypsin-like protease. We are inclined to prefer the "frozen accident" explanation for the most widespread genes comprising the conserved "core" of the virus genome (helicase, chymotrypsin-like protease). The selectionist scheme allowing repeated independent acquisition of similar genes appears to be better applicable to such genes as that for the papain-like protease. Discrimination between the two types of evolutionary schemes is very difficult. Nevertheless, we believe that the possible relationship between positive-strand RNA viruses and primitive genetic systems implies that exploration of the former may shed some light on the features of the latter.

Aside from the problems with the origin of the helicase gene, the transition from the hypothetical common ancestor to the distinct ancestors of the three virus classes is easily imaginable, the main step being acquisition of the capsid protein gene (classes I and II) or the papain-like protease gene (class III), and the accompanying relegation of one of the functions of the hypothetical primitive capsid protease (Figure 18).

## E. Parallelisms In the Evolution of Genome Organization, Expression, and Replication

A striking feature of the evolutionary histories of the three positive-strand RNA virus classes as viewed in our reconstruction is the parallel evolution of crucial features such as the mechanism for subgenomic RNA synthesis and multipartite genome in different lineages. An exciting question is just how far does this parallelism extend and whether we can predict new virus types (Table 3). It will be very interesting to find out whether, for example, viruses exist that belong to class III by phylogenetic criteria but have small genomes and lack the helicase gene as the result of the proposed truncation event. Conversely, it would be an important finding if vi-

**TABLE 3**

**Features of genome organization and expression strategy in different divisions of positive-strand RNA viruses**

	HEL	Chymotrypsin-like protease	Papain-like Protease	Icosahedral capsid	Elongated capsid	sg-mRNA <sup>b</sup>	Multipartite genome
Picornavirales	All	All	Aphthovirus	All	? <sup>a</sup>	Caliciviridae RTSV	Comoviridae
Potyvirales	All	Potyviridae	All	?	Potyviridae	?	Bymovirus
Sobemovirales	?	Sobemoviridae Luteoviridae	?	All	?	All	Nodaviridae
Spheridiplornavirales	?	?	?	All	?	?	?
Arterivirales	All	All	All	Arteriviridae	Coronaviridae	All	?
Flavivirales	All	All	?	All	?	?	?
Pestivirales	All	All	?	All	?	?	?
Carmovirales	?	?	?	All	?	All	Dianthovirus
Rubivirales	All	Alphaviridae	All	Rubiviridae Hepeviridae Alphaviridae	Beneviridae	All	Beneviridae
Tobamovirales	All	?	?	Tricornaviridae	Tobamoviridae Closteroviridae	All	Tricornaviridae Tobravirus Furovirus Hordeivirus ?
Tymovirales	All	?	Tymoviridae Carlaviridae	Tymoviridae	Potexviridae Carlaviridae	All	?

<sup>a</sup> The question marks indicate that a feature has not been found in the known members of the given division but theoretically may be expected to be identified in new members.

<sup>b</sup> sg-mRNA — subgenomic mRNA

viruses were discovered that belong to class II but have an elongated capsid related to those of class III viruses. If viruses of these types could not be found, it would be exciting to try and understand the constraints precluding their existence.

### F. Positive-Strand RNA Viruses and their Hosts: Coevolution and/or Horizontal Transfer

Positive-strand RNA viruses infect eubacteria, plants, and animals; candidate viruses have also been isolated from *Fungi*, *Protozoa*, and *Algae*, and related fungal and protozoan dsRNA viruses have been studied in considerable detail (Francki et al., 1991; Koonin, 1992). Eubacterial viruses are represented by a single family of bacteriophages. It is surprising that RNA phages have not developed diversity of genome organization comparable to that observed in eukaryotic viruses. Recombinants between RNA phages could not be obtained and it cannot be ruled out that by some

not yet understood reasons certain types of recombination at the RNA level may be strongly disfavored in bacteria (Horiuchi, 1970). On the other hand, based on the similarity between the phage RdRps and the polymerases of eukaryotic viruses of superfamily 2, it has been speculated that RNA phages might have evolved from eukaryotic viruses by horizontal transfer (Koonin, 1991a). The closer relationship between the phage polymerases and those of yeast dsRNA genetic elements (Rodriguez-Cousino et al., 1991; Esteban et al., 1992; Koonin, 1992a; Figure 2) appears to be compatible with this hypothesis.

The separation between plant and animal viruses is observed in all three virus classes but only in two or three of the 12 proposed orders (Table 2). We do not know virus families including members infecting both plants and animals. Clearly, there are two major, not mutually exclusive explanations for the separation of plant and animal viruses, namely, horizontal transfer or divergence concomitant with that of the hosts. The former hypothesis appears to be the more popular

one, with insects invoked as possible vectors (Haseloff et al., 1984; Goldbach et al., 1991). The recent demonstration of experimental infection of a plant host by an insect virus is compatible with such a scheme (Selling et al., 1990).

On the other hand, it is clear that change of the host type does not occur easily. The points of divergence of plant and animal viruses correspond to deep branchings in the phylogenetic trees, and, although we cannot link them to any specific time scale, virus-host co-evolution seems to be a possibility. An attractive intermediate scenario would include two distinct acts of interkingdom virus transfer in the proposed orders *PICORNAVIRALES* and *RUBIVIRALES*, while the deepest branchings separating plant and animal viruses in each of the three classes would coincide with the plant/animal divergence. The latter two schemes, that is, complete or partial coevolution, imply that a considerable diversity of positive-strand RNA viruses and related dsRNA viruses should have been around already at the time of the plant/animal divergence about one billion years ago.

Positive-strand virus genome organization, primarily that of genes coding for virion components, and expression strategy show limited but obvious correlations with the type of the host. These include: (1) abundance of naked rod-shaped or filamentous virions among plant viruses, a virion type not found in animal viruses; (2) presence of genes for envelope glycoproteins in a subset of animal but not plant viruses; (3) wide spread of multipartite genomes among plant viruses as opposed to their rarity among animal viruses. These features might have evolved differently. It appears likely that the hypothetical gene for the elongated capsid protein has been acquired by plant viruses only once and then has been disseminated by recombination. As the envelope proteins of viruses of different divisions show no appreciable sequence similarity to each other, it seems to be impossible to distinguish between the possibilities of their recombinational transfer and independent acquisition. As discussed above, virus genome split yielding multipartite genomes obviously has occurred more than once in evolution.

## IX. FUTURE DIRECTIONS: POSSIBLE TESTS FOR EVOLUTIONARY SCHEMES

It is difficult to propose direct tests for evolutionary scenarios. However, finding new types of virus genome organization compatible with these scenarios and perhaps resembling the postulated ancestor forms may provide indirect evidence. Some of the recent discoveries may be considered such evidence. For example, RTSV and PYFV as well as RBDV bear an obvious resemblance to the proposed ancestors of plant viruses with divided genomes (como/nepoviruses and tricornaviruses, respectively). Directed search for new viruses, particularly those infecting poorly studied organisms, using degenerate PCR primers derived from conserved amino acid sequence motifs, may be a powerful methodology for such analysis.

Very recently experiments have been reported that have direct bearing on the evolution of virus genome organization and expression strategy. In one study a two-component derivative of SNBV has been constructed encoding the nonstructural and the structural polypeptides on separate RNA segments and its efficient reproduction has been demonstrated (Geigenmüller-Gnirke et al., 1991). Another set of experiments included construction of a dicistronic poliovirus expressing the nonstructural proteins by internal translation initiation (Molla et al., 1992). These studies, however limited in scope, illustrate the capability of modern experimental techniques to model putative intermediates in virus evolution. There seems to be no fundamental obstacles in applying this approach to systematically testing the evolutionary scenarios discussed here.

## ACKNOWLEDGMENTS

We are grateful to Alexei Agranovsky, Margo Brinton, Steven Demler, Theo Dreher, Stephan Monroe, Arcady Mushegian, and Yukio Shirako for sharing with us their unpublished data. Useful discussions with Peer Bork, Margo Brinton, Claude Fauquet, Arcady Mushegian, and Kenn Rudd are highly appreciated. E. V. K. gratefully acknowledges helpful discussions of virus evolution over years of collaboration with Alex

Gorbalenya. We wish to ask all the colleagues whose valuable work is not cited here because of space and/or scope limitations to accept our sincere apologies.

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